

# HABITABLE ZONES IN EXTRASOLAR PLANETARY SYSTEMS: THE SEARCH FOR A SECOND EARTH

Siegfried Franck



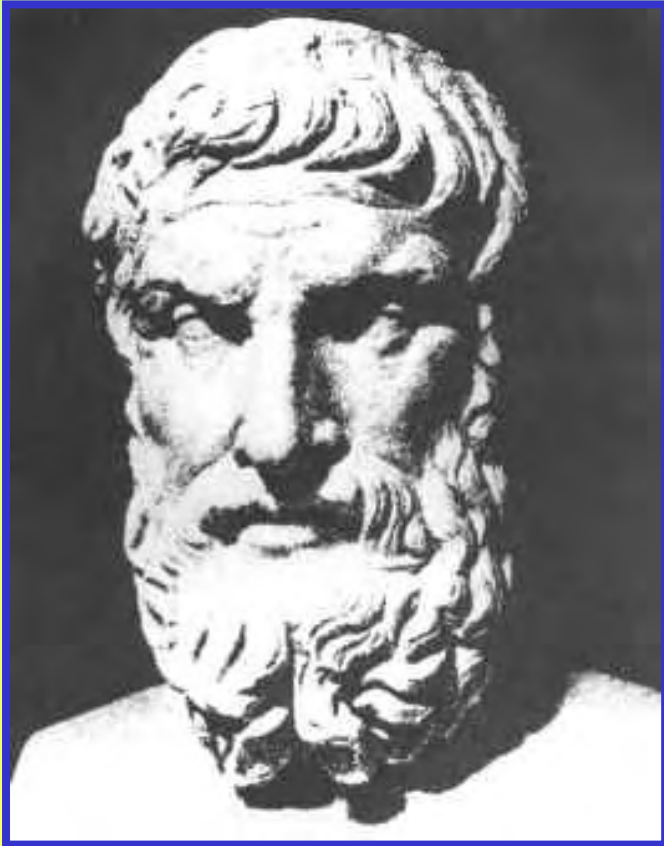
*Potsdam Institute for  
Climate Impact Research*



# HAMANGIA



# Already known?



„Es gibt unendlich viele Welten, sowohl dieser Welt ähnliche, wie auch von ihr verschiedene. ... Wir müssen annehmen dass es in allen Welten lebende Geschöpfe gibt, und Planeten, und andere Dinge die wir in dieser Welt sehen.“

Epikur, griechischer Philosoph (um 300 v. Chr.)

# The 16th century – the (first) Copernican revolution



Nicolaus Copernicus  
De revolutionibus orbium caelestium  
The Earth is *not* the centre of the  
Universe.

- Late 1500: Tycho Brahe – Precise measurements of stellar planetary positions
- Johannes Kepler (1609) – Planets on elliptical Orbits
- Giordano Bruno – Stars in the sky are Suns like our own  
This was too much! – He was burned at the stake in Rome (1600)



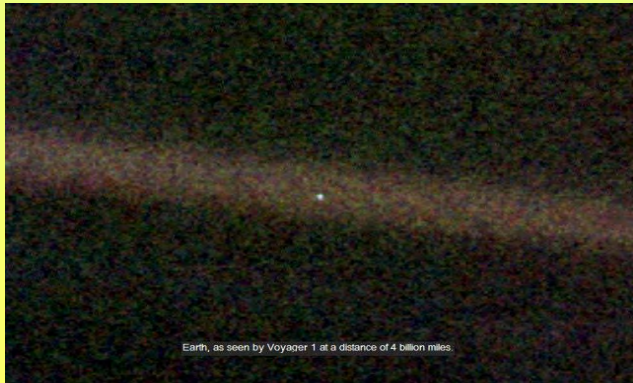
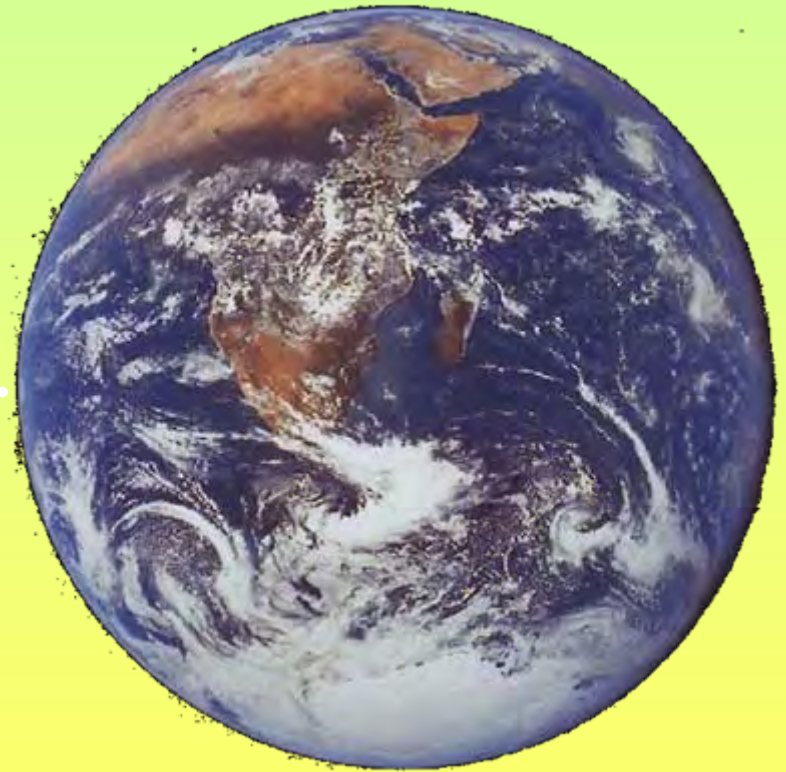
„Es gibt zahllose Sonnen und zahllose Erden, die alle ihre Sonnen umlaufen, genau in der Weise, wie die Planeten unseres Systems unsere Sonne umlaufen. Wir sehen nur die Sonnen, denn sie sind die größten Körper, und sie leuchten selbst. Aber ihre Planeten bleiben für uns unsichtbar, denn sie sind kleiner und erzeugen kein eigenes Licht. Die zahllosen Welten im Kosmos sind nicht schlechter und nicht weniger bewohnt als unsere Erde.“

Giordano Bruno, neuzeitlicher Denker (1548 - 1600)



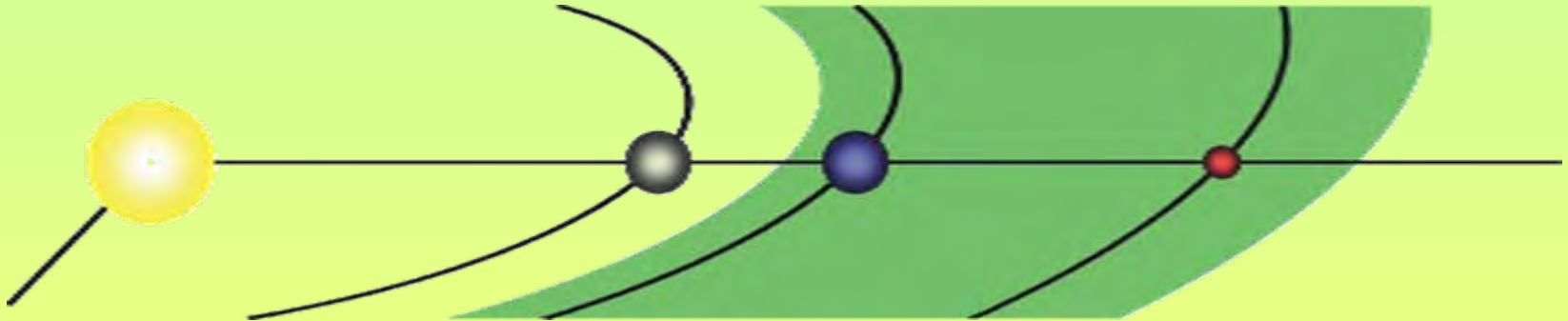
# Are we alone in the universe?

In order to answer this question we have to understand how the Earth system operates..



Earth seen from Voyager 1 at distance of 4 bill. miles

# HABITABLE ZONES



**The range of distances around a central star at which Earth-like planets maintain conditions sufficient for the existence of life at the surface.**

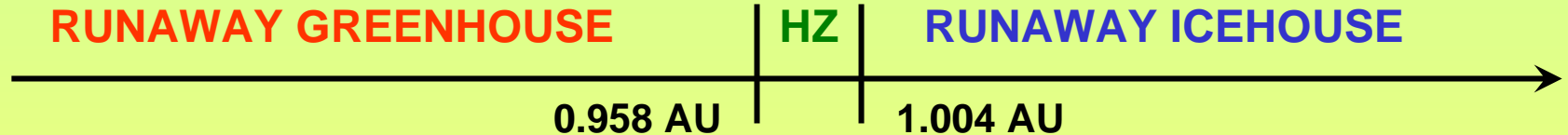
First publications:

Huang (1959, 1960), Dole (1964), Shklovski & Sagan (1966)

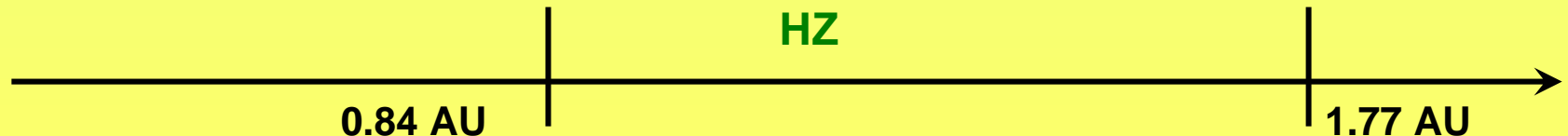
# HABITABLE ZONES

First numerical model for the HZ:

Hart (1978,1979)



Kasting et al. (1988,1993): Implementation of a negative feedback mechanism between the atmospheric CO<sub>2</sub>-content and the mean global surface temperature

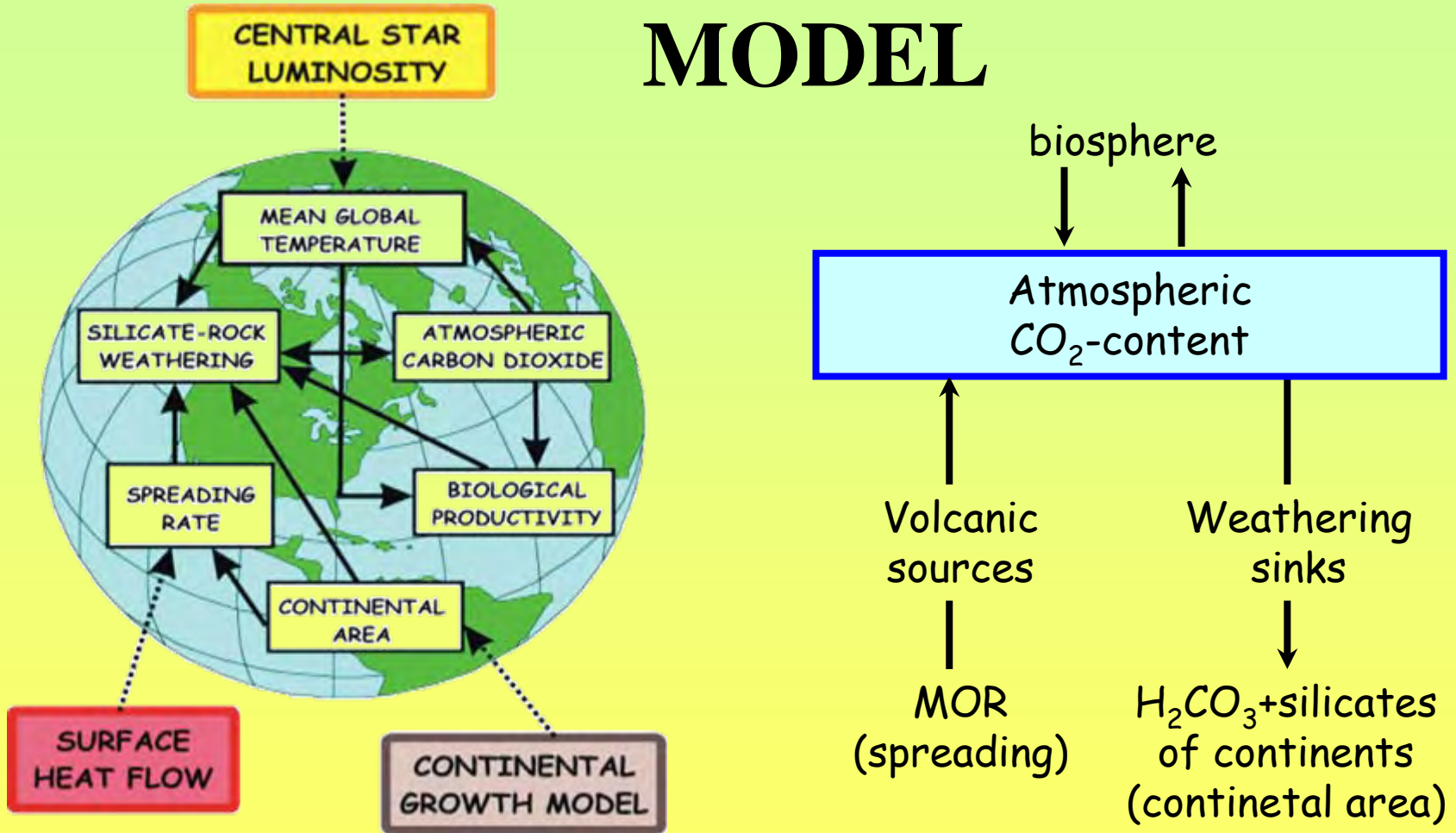




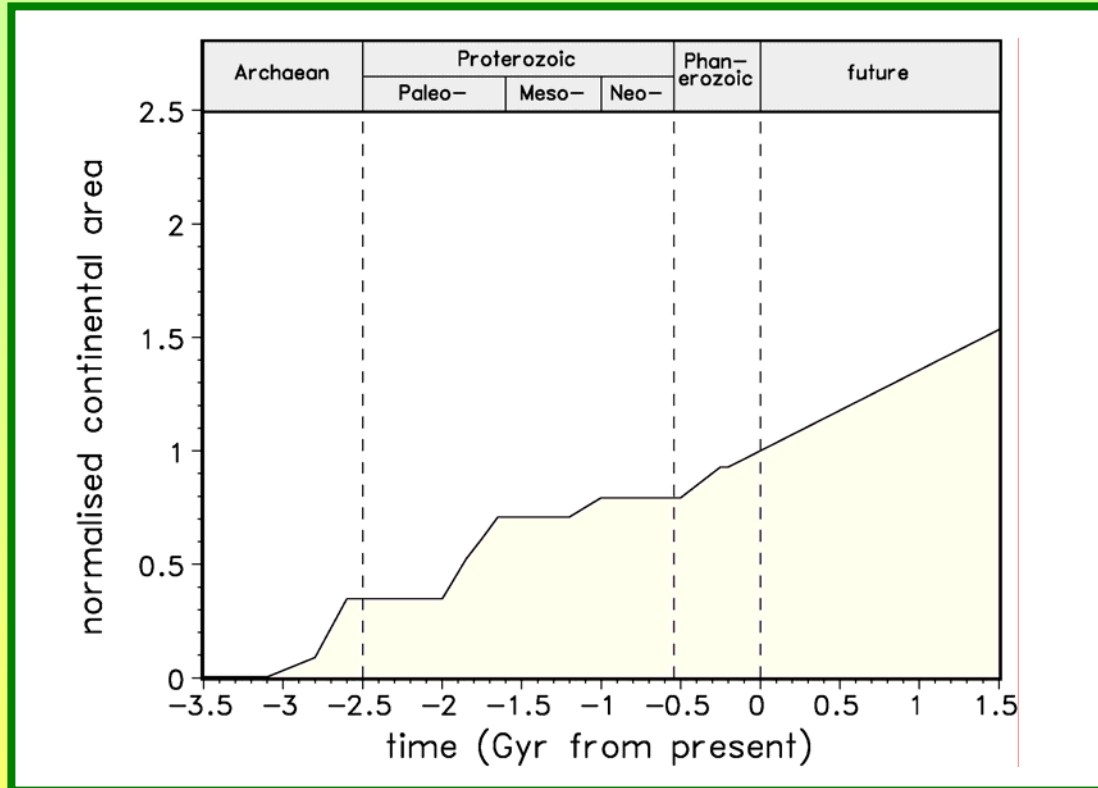
# INTEGRATED SYSTEM APPROACH



# SIMPLE EARTH SYSTEM MODEL



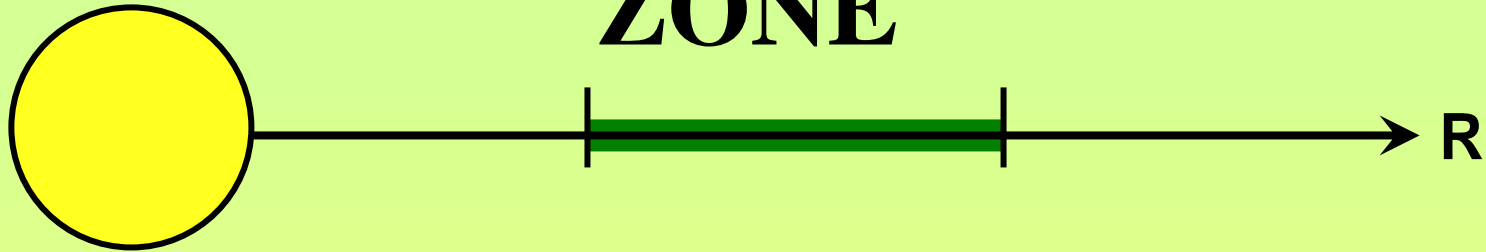
# THE CONTINENTAL GROWTH MODEL



Cumulative continental growth model derived from the best studied region, North America and Europe, according to Condie (1990). Note that crustal growth had two major pulses in the Archaean and Proterozoic. The continental area  $A_c(t)$  is normalised to the present value  $A_{c,0}$ . Future values are estimated by linear extrapolation.



# DEFINITION OF HABITABLE ZONE



$$\text{HZ} := \{R \mid \Pi(P_{\text{atm}}(R, t), T_s(R, t)) > 0\}$$

$$\Pi = \Pi_{\text{max}} \left( 1 - \left( \frac{T_s - 50^\circ\text{C}}{50^\circ\text{C}} \right)^2 \right) \left( \frac{P_{\text{atm}} - P_{\text{min}}}{P_{1/2} + (P_{\text{atm}} - P_{\text{min}})} \right)$$

Temperature interval:  $[0^\circ\text{C}, 100^\circ\text{C}]$

$P_{\text{min}}$ :  $10^{-5}$  bar

$P_{\text{max}}$ : 10 bar

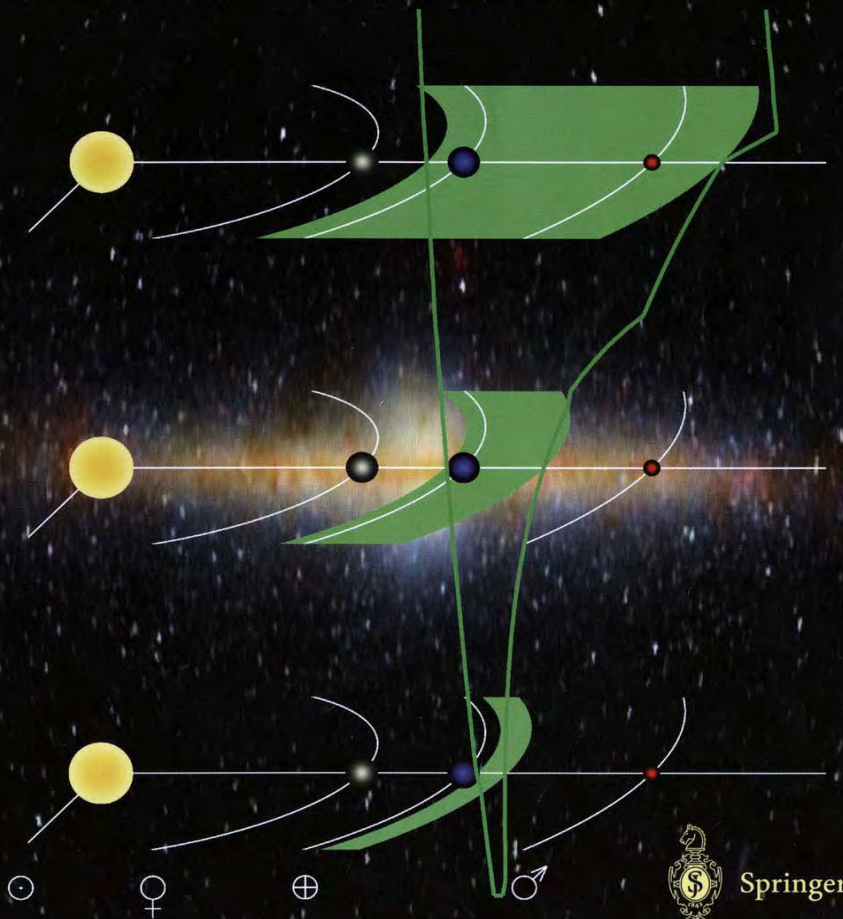


# Naturwissenschaften

Organ der  
Max-Planck-  
Gesellschaft

Organ der  
Gesellschaft Deutscher  
Naturforscher und Ärzte

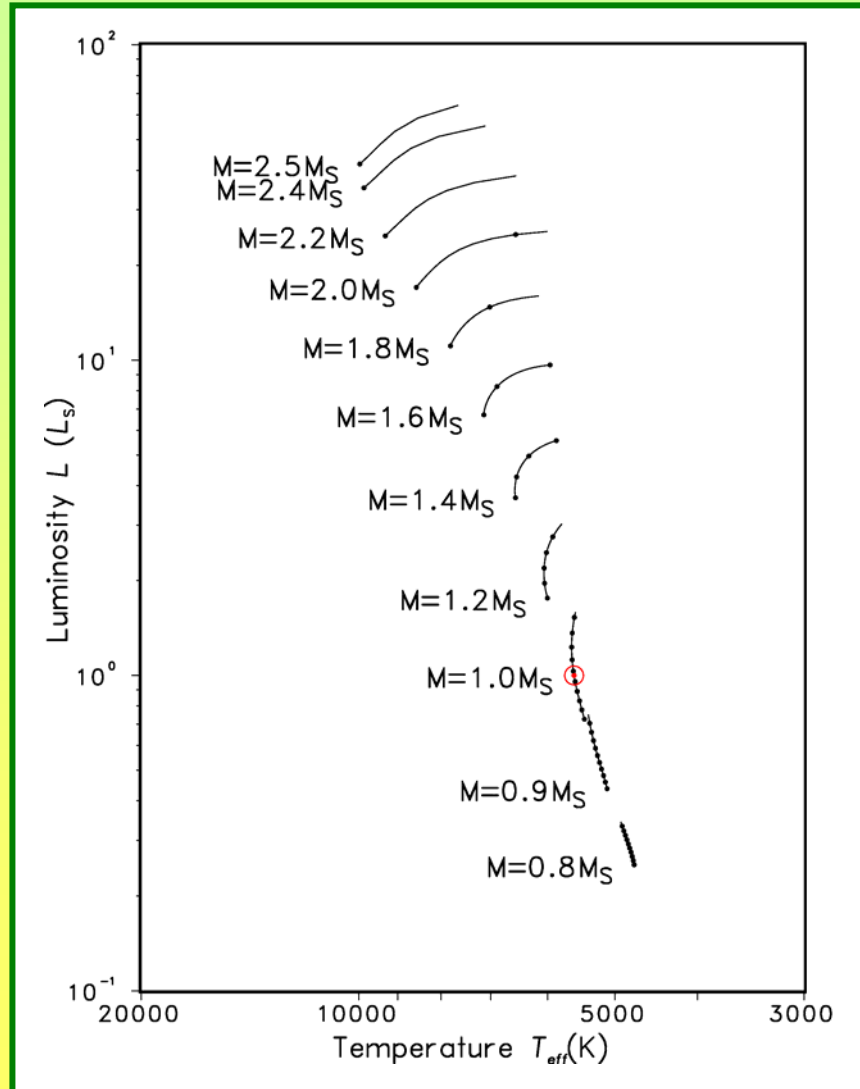
Organ der  
Hermann von Helmholtz –  
Gemeinschaft Deutscher Forschungszentren



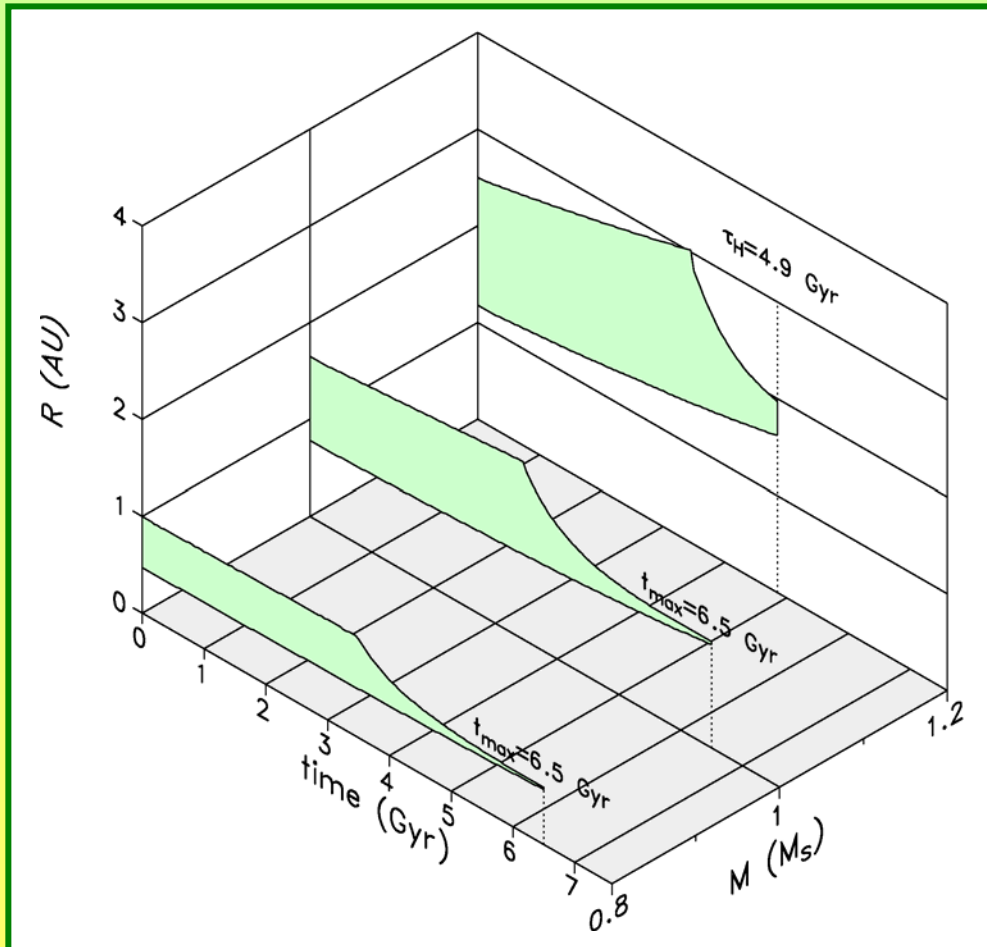
THE FIRST SUMMER-SCHOOL IN ASTRONOMY AND GEOPHYSICS, BELGRADE, 6.8.-10.8.07



# HERTZSPRUNG-RUSSELL-DIAGRAM



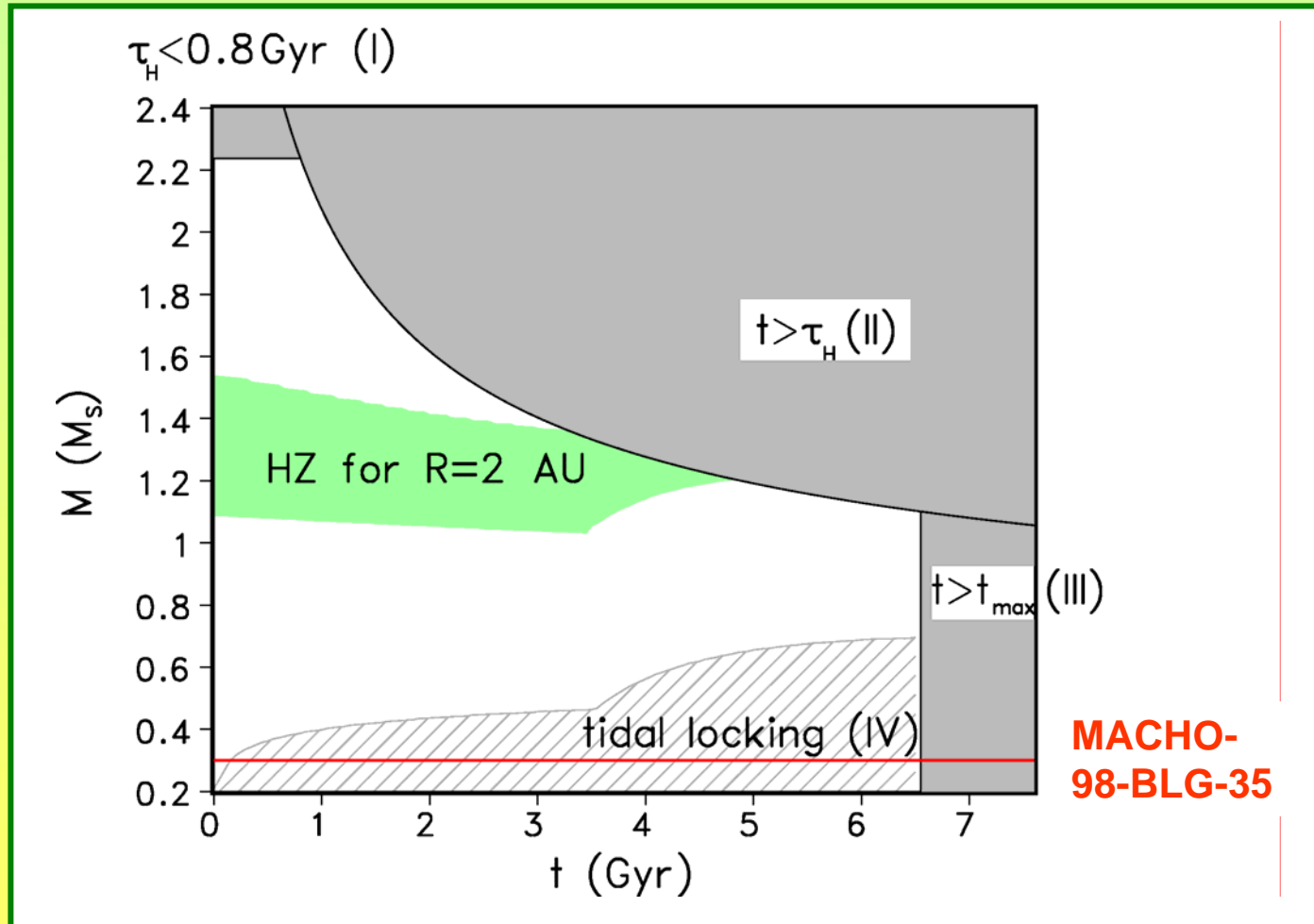
# HZ FOR DIFFERENT CENTRAL STAR MASSES



Franck S., Block A., von Bloh W., Bounama C., Steffen M., Schönberner D., Schellnhuber H.-J. 2000: Determination of habitable zones in extrasolar planetary systems: where are Gaia's sisters? JGR-Planets 105(E1), 1651-1658.



# POTENTIAL OVERALL DOMAIN FOR HZ



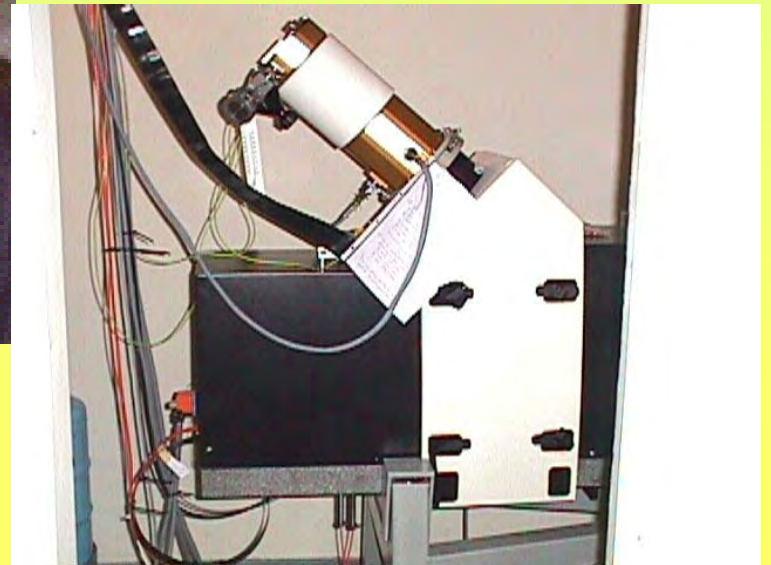


# Extrasolar planets: First detection

Michel Mayor  
Didier Queloz  
(Observatorium Genf)



6.10.1995



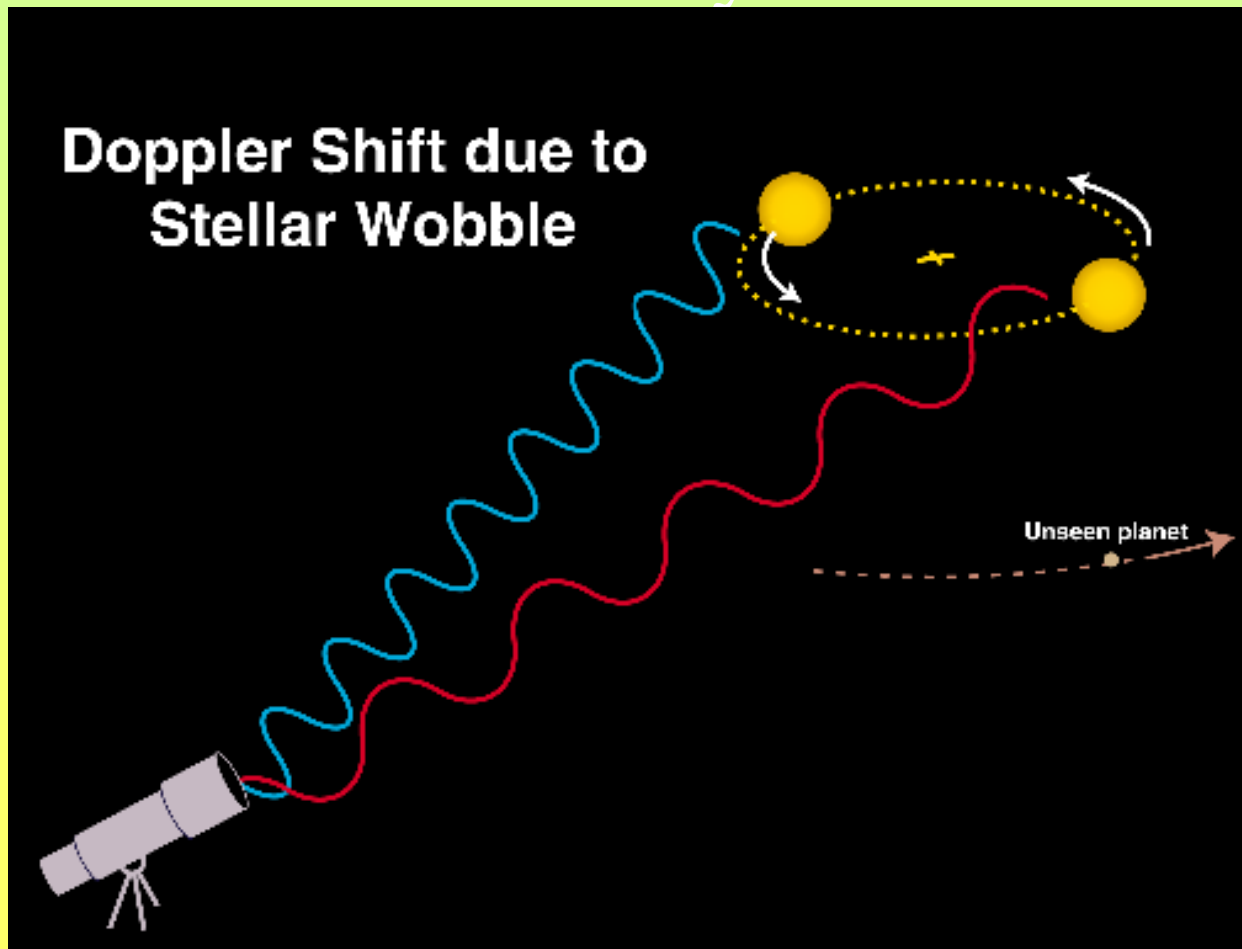
First detection of an extrasolar planet  
around a main-sequence star:

**51 Pegasi**

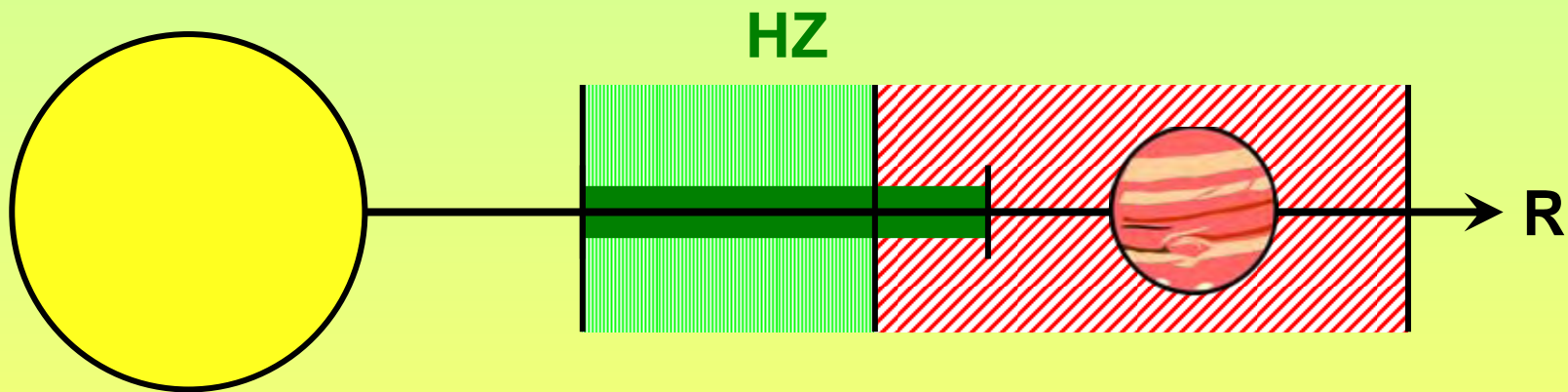


# Detection method

## Radial velocity method

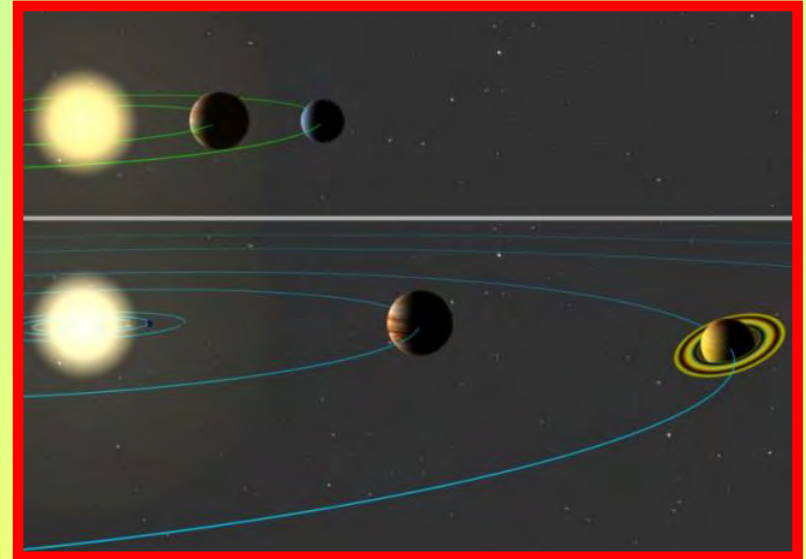
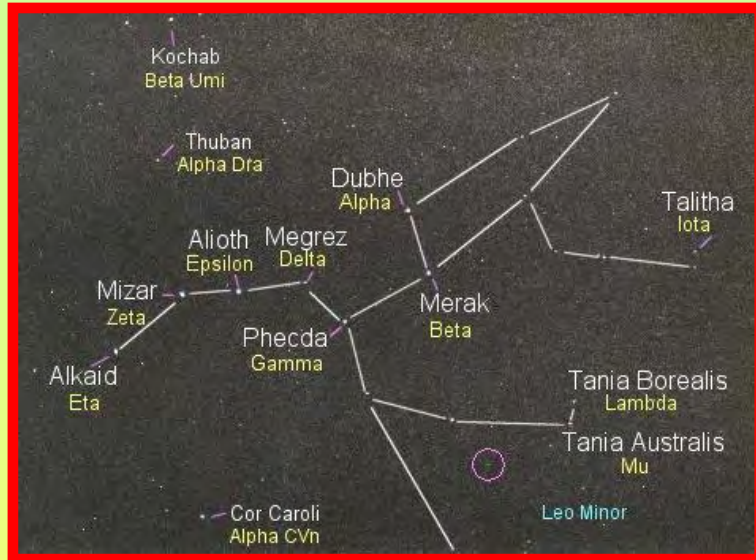


# DEFINITION OF HZ & DYNAMICAL HZ



**Dynamical HZ** Unstable orbits due  
to a giant planet

# THE EXOPLANETARY SYSTEM 47 UMa



## The star 47 Ursae Majoris

**Spectral class:** G0V

**Typ:** Yellow dwarf (main sequence)

**Distance:** 45 lightyears

**Luminosity:**  $1.54 L_{\text{solar}} (\pm 0.13)$

**Mass:** 1.03 Solar masses

**Age:** 6.32 Gyr (+1.2, -1.0)

## Discovered giant planets

**47 UMa b:** 1996

2.54 Jupiter masses

2.09 AU

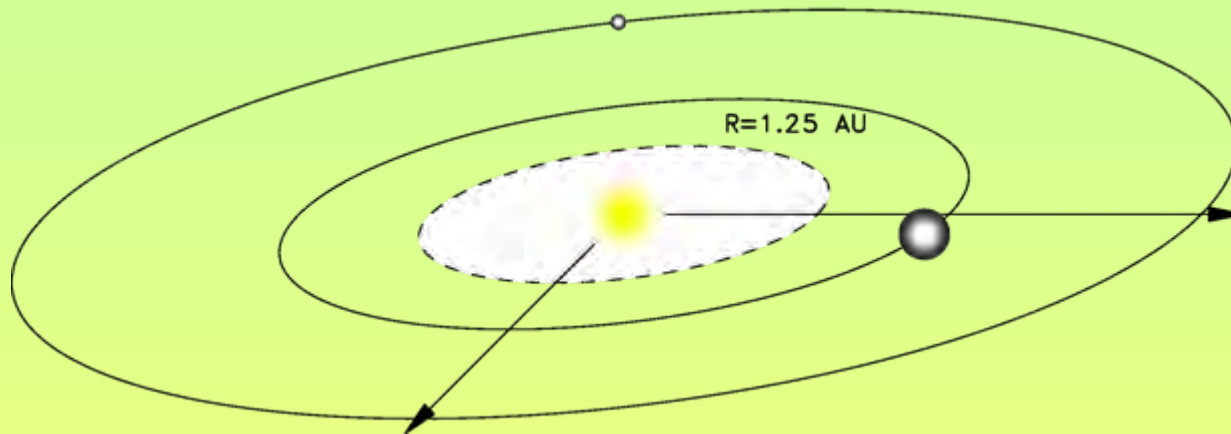
**47 UMa c:** 2002

0.76 Jupiter masses

3.73 AU



# DYNAMICAL HABITABILITY



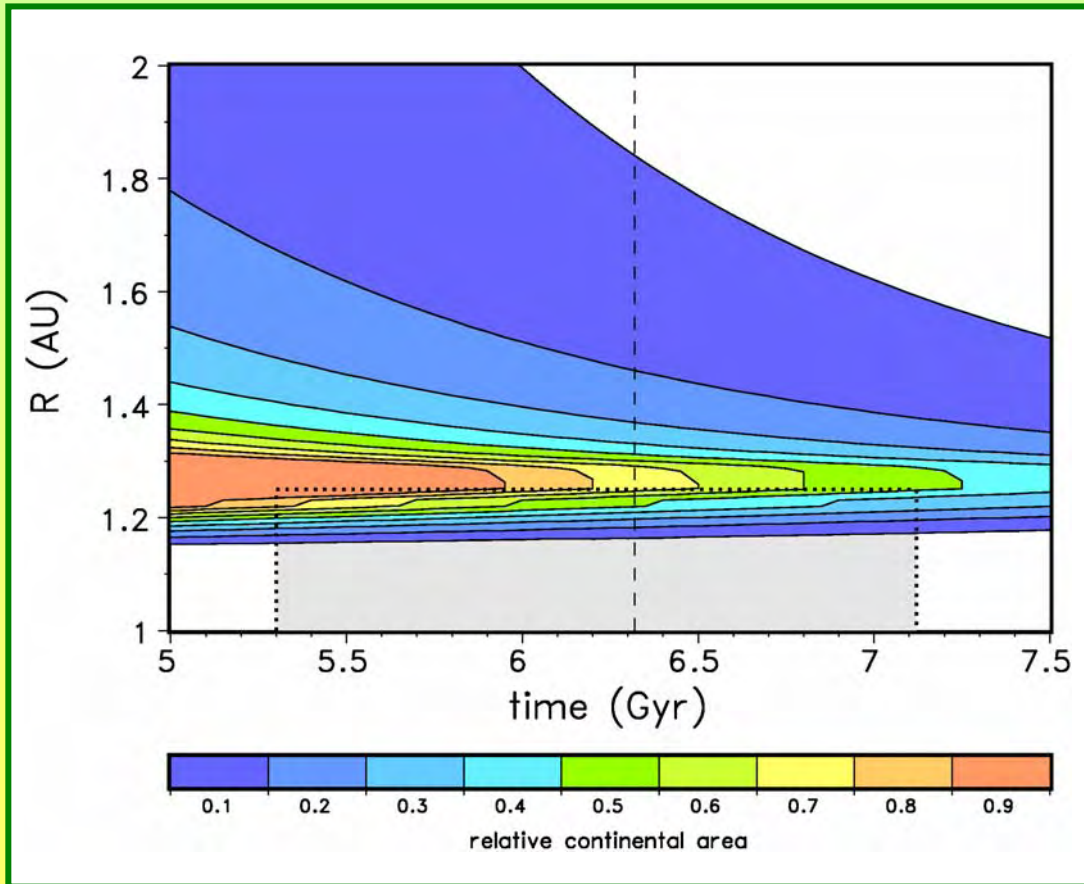
**Jones et al. (2001, 2002, 2003)**  
**MVS (Mixed Variable Symplectic Integration Method)**  
 $R_{\text{out}} \sim 1.32 \text{ AU}$

**Gozdzewski (2002)**  
**MEGNO (Mean Exponential Growth Factor of Nearby Orbits)**  
 $R_{\text{out}} \sim 1.30 \text{ AU}$

**Noble et al. (2002)**  
 $R_{\text{out}} \sim 1.25 \text{ AU}$

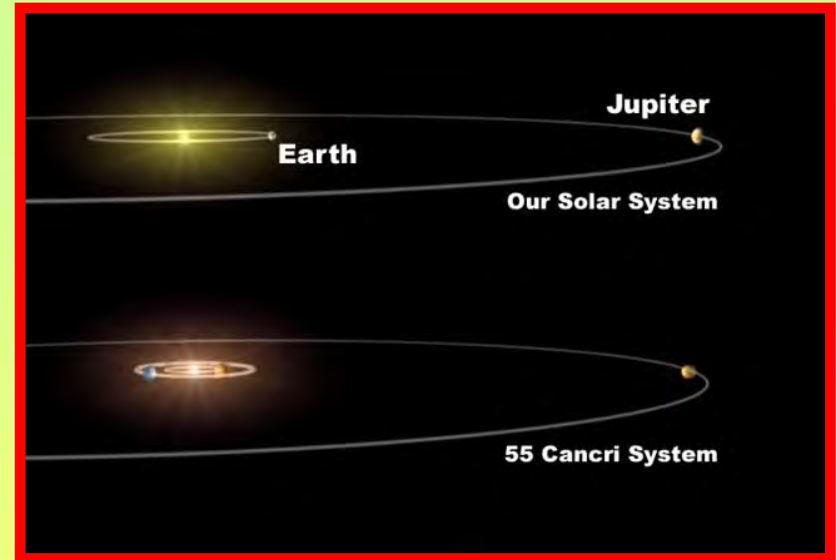
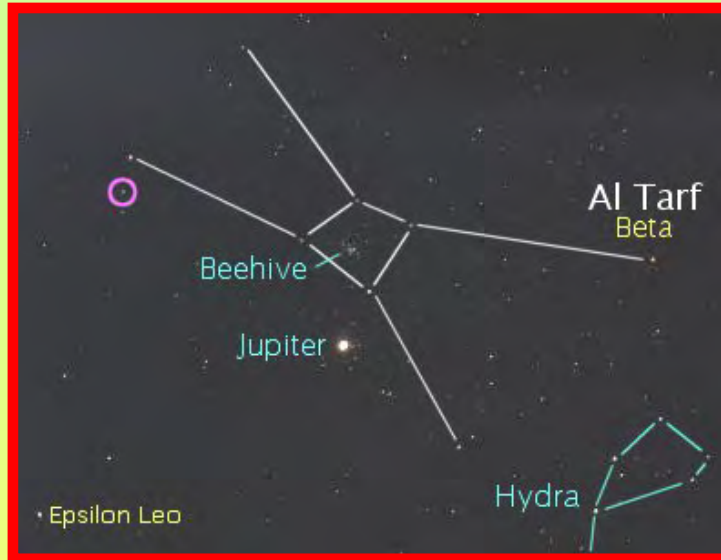
**Asghari et al. (2004)**  
**Lie-Series-Integration**  
 $R_{\text{out}} \sim 1.30 \text{ AU}$

# DYNAMICAL HABITABILITY OF 47 UMa



- Principle possibility of Earth-like habitable planets on stable orbits
- „Water worlds“ are favoured
- Planet Earth (= „water world“) would be dynamically habitable at about 1.2 AU

# THE EXOPLANETARY SYSTEM 55 Cnc



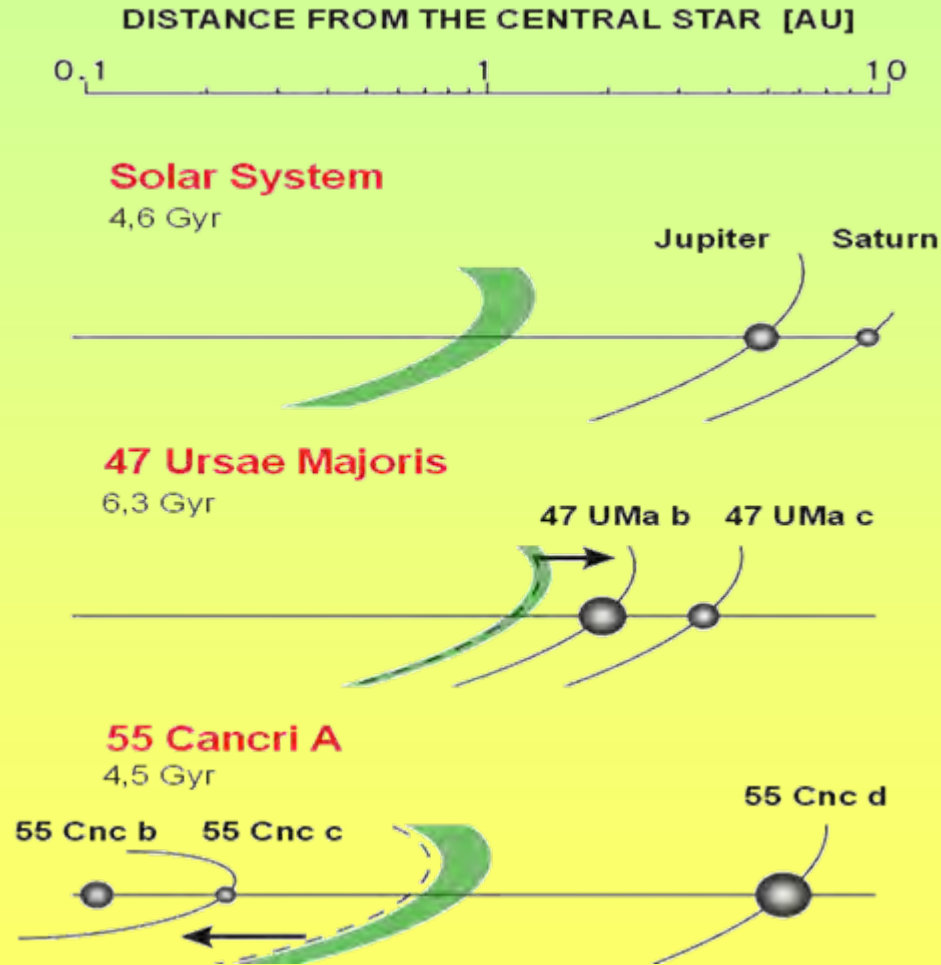
## The star 55 Cancri

**Spectral class:** G8V  
**Typ:** Yellow dwarf (main sequence)  
**Distance:** 41 lightyears  
**Luminosity:**  $0.61 L_{solar}$   
**Mass:** 0.95 Solar masses  
**Age:** 4.5 Gyr (+1.0, -1.0)

## Discovered giant planets

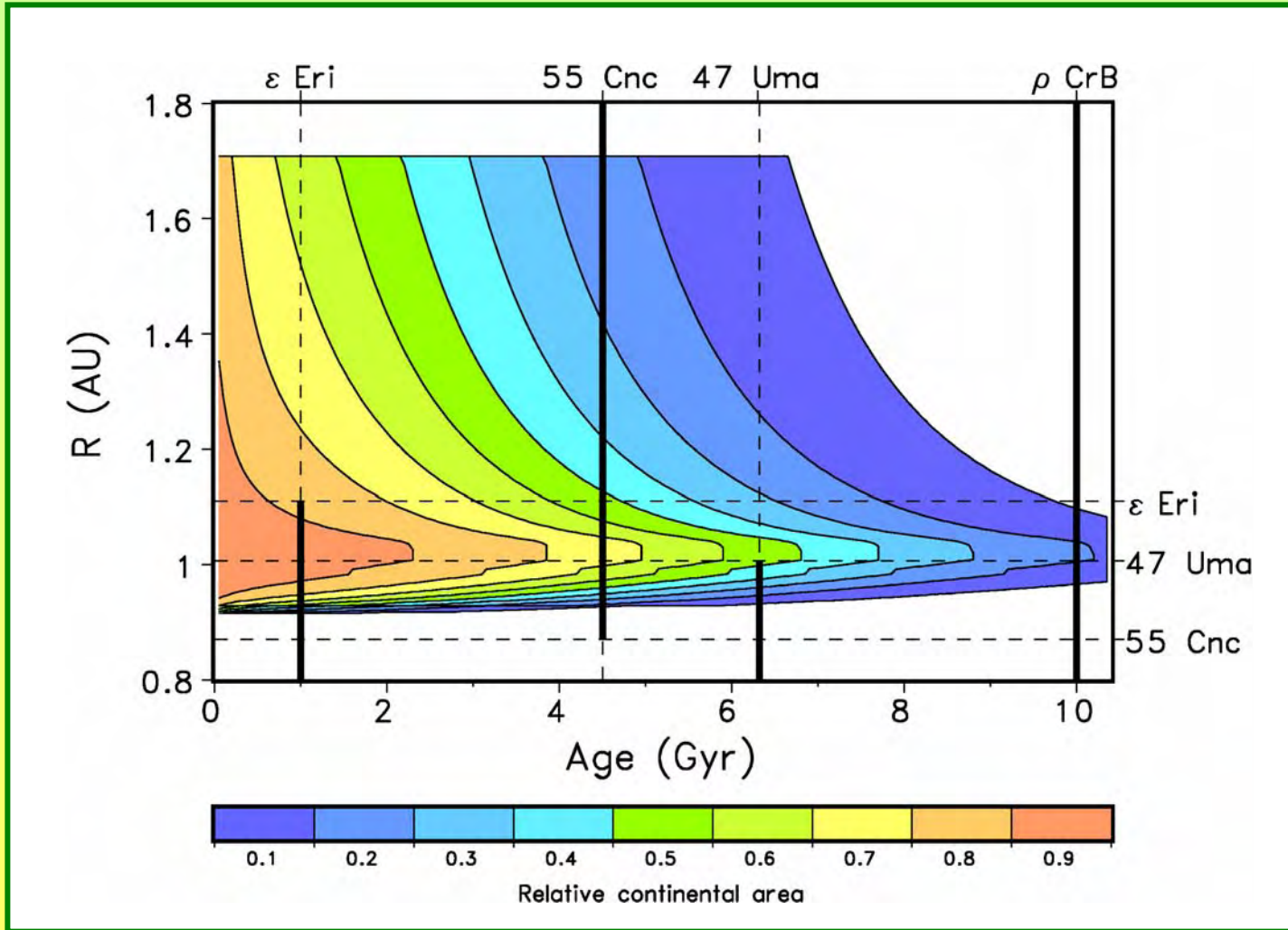
**55 Cnc b:** 0.115 AU  
0.84 Jupiter masses  
**55 Cnc c:** 0.241 AU  
0.21 Jupiter masses  
**55 Cnc d:** 5.9 AU  
4.05 Jupiter masses

# HABITABLE ZONES BY COMPARISON I





# HABITABLE ZONES BY COMPARISON II



# CATALOG OF 86 PLANETARY SYSTEMS

- The catalogs of Espresate (2005) and Jones et al. (2005) contain necessary information for 86 extrasolar planetary systems.

6

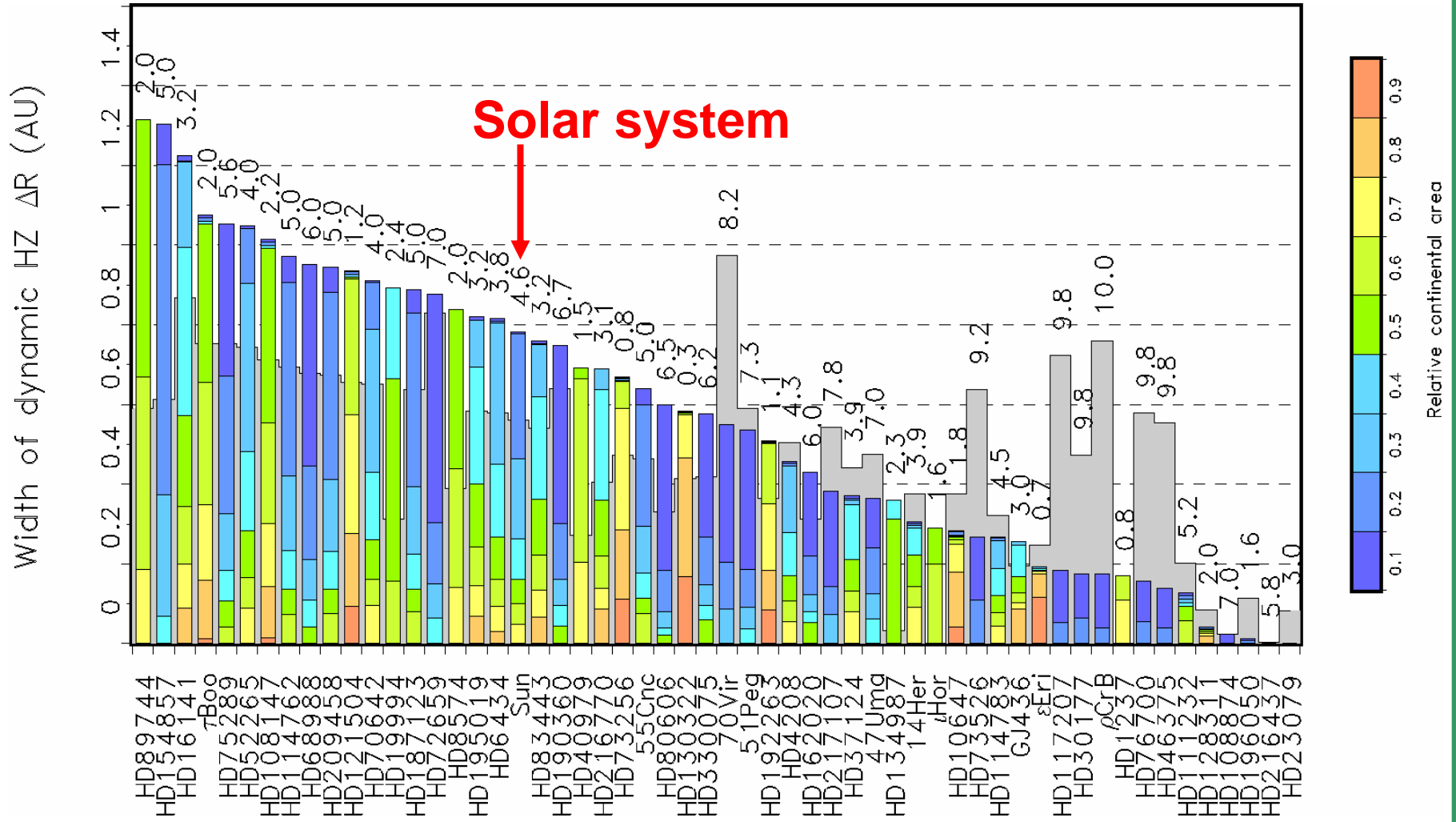
ESPRESATE

TABLE 2  
HOSTS STAR DATA

Star No.	Identifier	Spectral Type	Mass $M_{\odot}$	Luminosity $L_{\odot}$	$T_{eff}$ K	[Fe/H]	$P_{rot}$ days	$R_{*}$ $R_{\odot}$	Age Cyr	Number of planets
1	OGLETR56	G - -	1.01	-	-	-	-	1.12	-	1
2	OGLETR113	K - -	0.77	-	-	0.14	-	0.765	-	1
3	OGLETR132	F - -	1.35	-	-	0.43	-	1.43	1.4	1
4	HD73256 <sup>5</sup>	K 0 V	1.05	0.69	5570	0.29	13.9	1.03	0.83	1
5	GJ 436	M 2.5V	0.41	0.025	-	0.25	-	0.43	-	1
6	HD75732 <sup>5</sup>	G 8 V	0.95	0.61	5250	0.16	38.5	0.96	5.0	4
7	HD63454 <sup>5</sup>	K 4 V	0.8	0.26	4811	0.11	-	0.81	-	1
8	HD83443 <sup>5</sup>	K 0 V	0.9	0.88	5454	0.35	35.3	0.92	3.2	1
9	HD46375 <sup>1</sup>	K 1 V	1.0	1.0	5770	0.31	-	1.0	-	1
10	TEES-1 <sup>6</sup>	K 0 V	0.87	0.5	5250	0.001	-	0.85	-	1
11	HD179949 <sup>4</sup>	F 8 V	1.24	1.99	6155	0.02	9.	1.24	-	1
12	HD187123	G 3 V	1.06	1.35	5830	0.16	25.4	1.18	-	1
13	OGLE5-TR-10	G - -	1.22	-	-	0.12	-	-	-	1
14	HD120136 <sup>2</sup>	F 8 V	1.3	2.31	6498	0.28	3.3	1.2	2.0	1
15	HD330075	K 1 -	0.7	0.47	5017	0.08	48	-	6.2	1
16	HD88133 <sup>1</sup>	G 5 IV	1.2	3.06	5494	0.34	48	1.93	-	1
17	HD2638	G 5 -	0.93	0.47	5192	0.16	37	-	-	1
18	BD103166 <sup>6</sup>	K 0 V	1.1	0.62	5400	0.50	-	0.9	-	1
19	HD75289 <sup>5</sup>	G 0 V	1.15	1.99	6000	0.29	15.95	1.08	5.6	1
20	HD209458 <sup>5</sup>	G 0 V	1.03	1.61	6025	0.04	14.4	1.02	5.	1
21	HD76700 <sup>4</sup>	G 8 V	1	1	5423	0.11	-	1	-	1
22	OGLETR111 <sup>1</sup>	G - -	0.82	0.43	5070	0.12	-	0.85	-	1
23	HD217014 <sup>1</sup>	G 5 V	1.06	1.2	5946	0.20	28.	0.03	-	1
24	HD9826	F 8 V	1.3	3.4	6210	0.1	10.2	1.1	-	3
25	HD19674 <sup>1</sup>	G 5 V	1	1.0	5770	0.25	27.2	1	-	1
26	HD68988 <sup>1</sup>	G 2 V	1.2	1.79	6338	0.24	26.7	1.1	6.	1
27	HD168716	G 5 -	0.88	1.1	5640	-0.06	-	-	-	1
28	HD217107 <sup>4</sup>	G 7 V	0.98	0.94	5700	0.32	39	0.98	7.76	1
29	HD162020 <sup>5</sup>	K 2 V	0.75	0.25	4830	0.01	-	0.79	-	1
30	HD160691 <sup>5</sup>	G 5 V	1.1	1.77	5813	0.32	31	1.05	2.	3
31	HD130322 <sup>5</sup>	K 0 V	0.79	0.5	5330	-0.02	8.7	0.83	0.35	1
32	HD108147 <sup>5</sup>	G 0 V	1.27	1.93	6265	0.20	8.7	1.15	2.17	1
33	HD38529 <sup>4</sup>	G 4V	1.39	5.96	5370	0.35	34.5	2.82	-	2
34	HD13445 <sup>5</sup>	K 0 V	0.8	0.4	5350	-0.24	31	0.84	-	1
35	HD99492 <sup>6</sup>	K 2 V	0.88	0.33	4954	0.36	-	0.79	-	1
36	HD27894 <sup>5</sup>	K 2 V	0.75	0.36	4875	0.3	-	0.79	-	1
37	HD195019 <sup>4</sup>	G 3 V	1.02	1.06	5600	0.0	24.3	1.01	3.16	1
38	HD6434 <sup>5</sup>	G 3 V	0.79	1.12	5835	-0.52	18.6	0.83	3.8	1
39	HD192263 <sup>5</sup>	K 2 V	0.75	0.34	4840	-0.11	9.5	0.79	-	1
40	Gl876 <sup>5</sup>	M 4 V	0.3	0.014	3200	0.0	-	0.38	-	2*
41	HD102117 <sup>5</sup>	G 6 V	1.03	1.57	5672	0.3	31	1.02	-	1



# HABITABLE ZONES BY COMPARISON V



# CONCLUSIONS

Habitability does not depend only on characteristics of the central star, does depend explicitly on age of the virtual Earth-like planet.

The solar system is a relative ordinary system, 18 systems have better requisites.

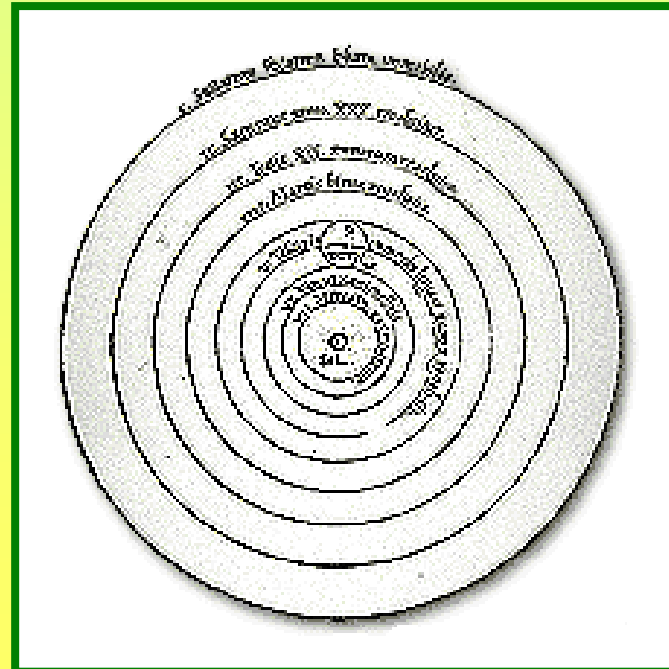
→ „Principle of mediocrity“



# THE MEDIOCRITY PRINCIPLE



The solar system and life on Earth are about average and life will develop by the same rules wherever the proper conditions and the needed time are given.



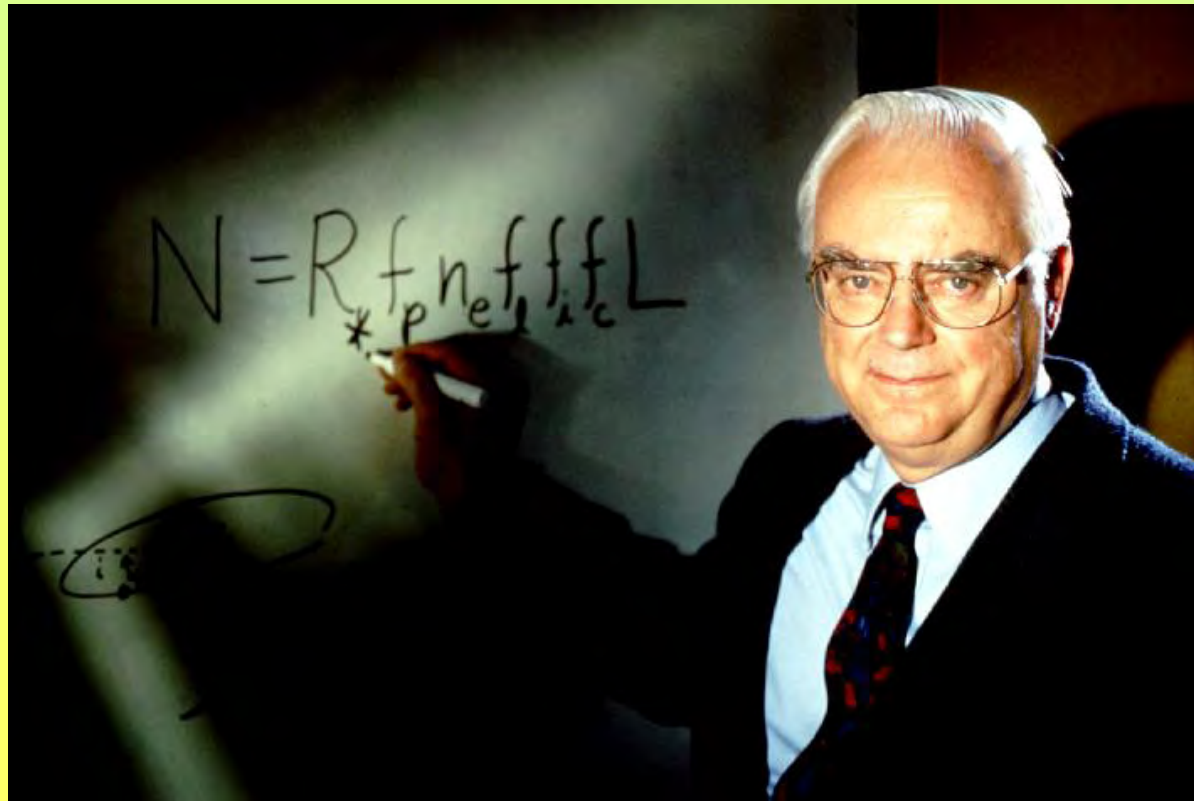
# CALCULATING THE NUMBER OF HABITABLE PLANETS IN THE MILKY WAY

- (1) DRAKE formula
- (2) convolution integral



# CALCULATING THE NUMBER OF HABITABLE PLANETS IN THE MILKY WAY

## Drake Formula

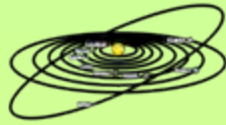


$N_{CIV}$

=



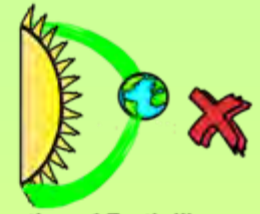
total number of stars in the Milky Way



fraction of stars that have planets



fraction of planets that are Earth-like



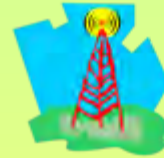
fraction of Earth-like planets in the HZ



fraction of habitable planets that develop life



fraction of habitable planets that develop intelligent life



fraction of intelligent life that develops radio technology

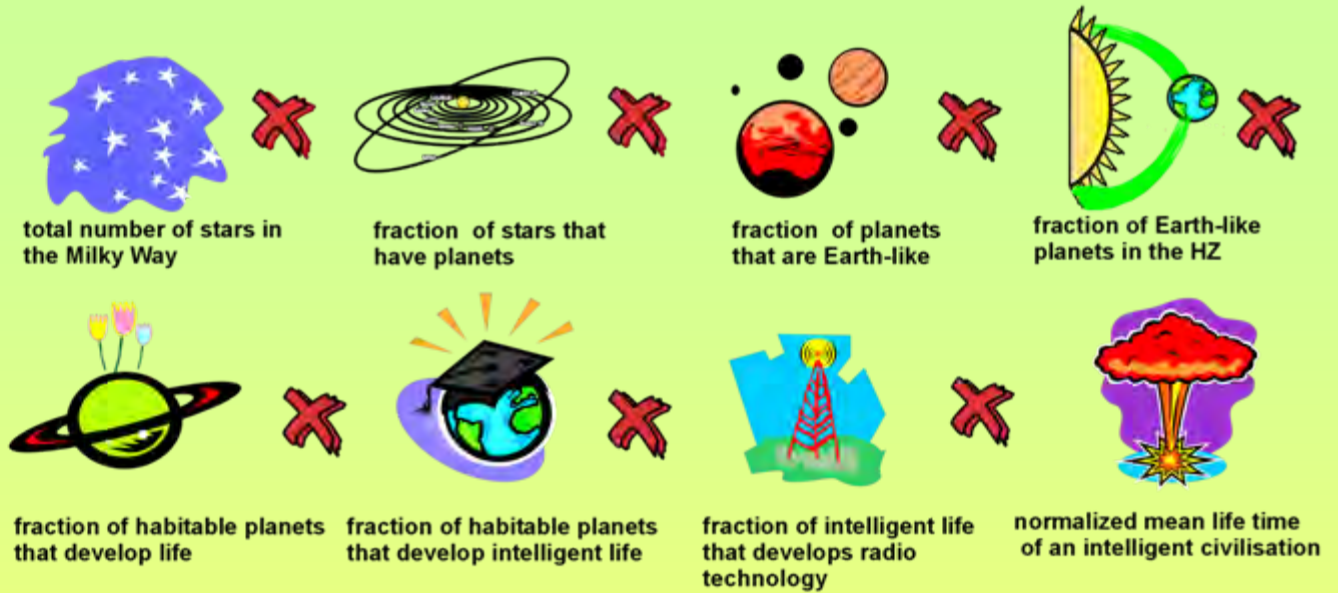


normalized mean life time of an intelligent civilisation



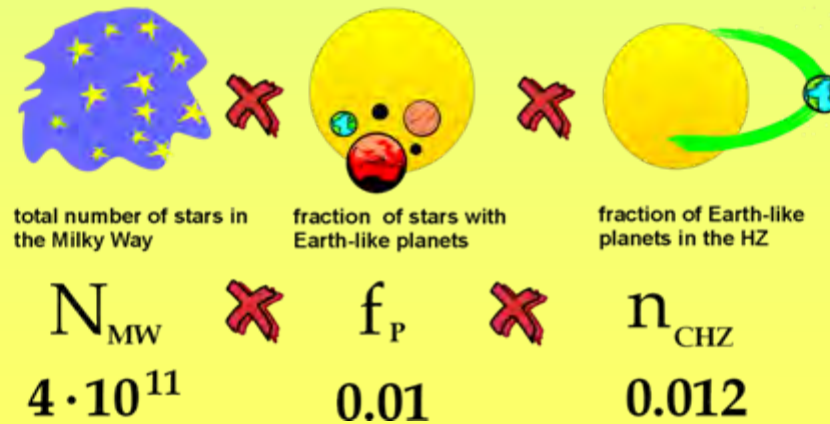
$N_{CIV}$

=



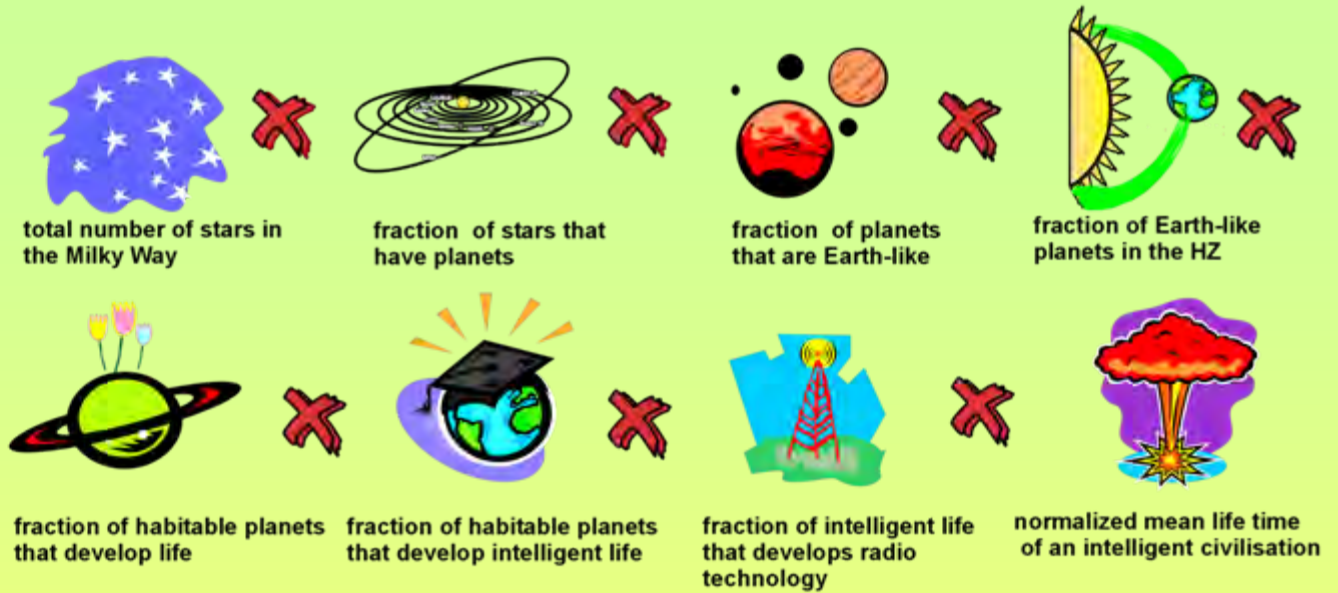
$N_{hab}$

=



$N_{CIV}$

=



$N_{hab}$

=



$N_{MW}$   
 $4 \cdot 10^{11}$

X

$f_P$   
0.01

X

$n_{CHZ}$   
0.012

=

$4.8 \cdot 10^7$

# CONVOLUTION INTEGRAL

$$P(t) = \int_0^t PFR(t') \times p_{hab}(t - t') dt'$$

$$p_{HZ}(M, \Delta t) = \frac{1}{C_1} \int_{R_{inner}(M, \Delta t)}^{R_{outer}(M, \Delta t)} R^{-1} dR$$

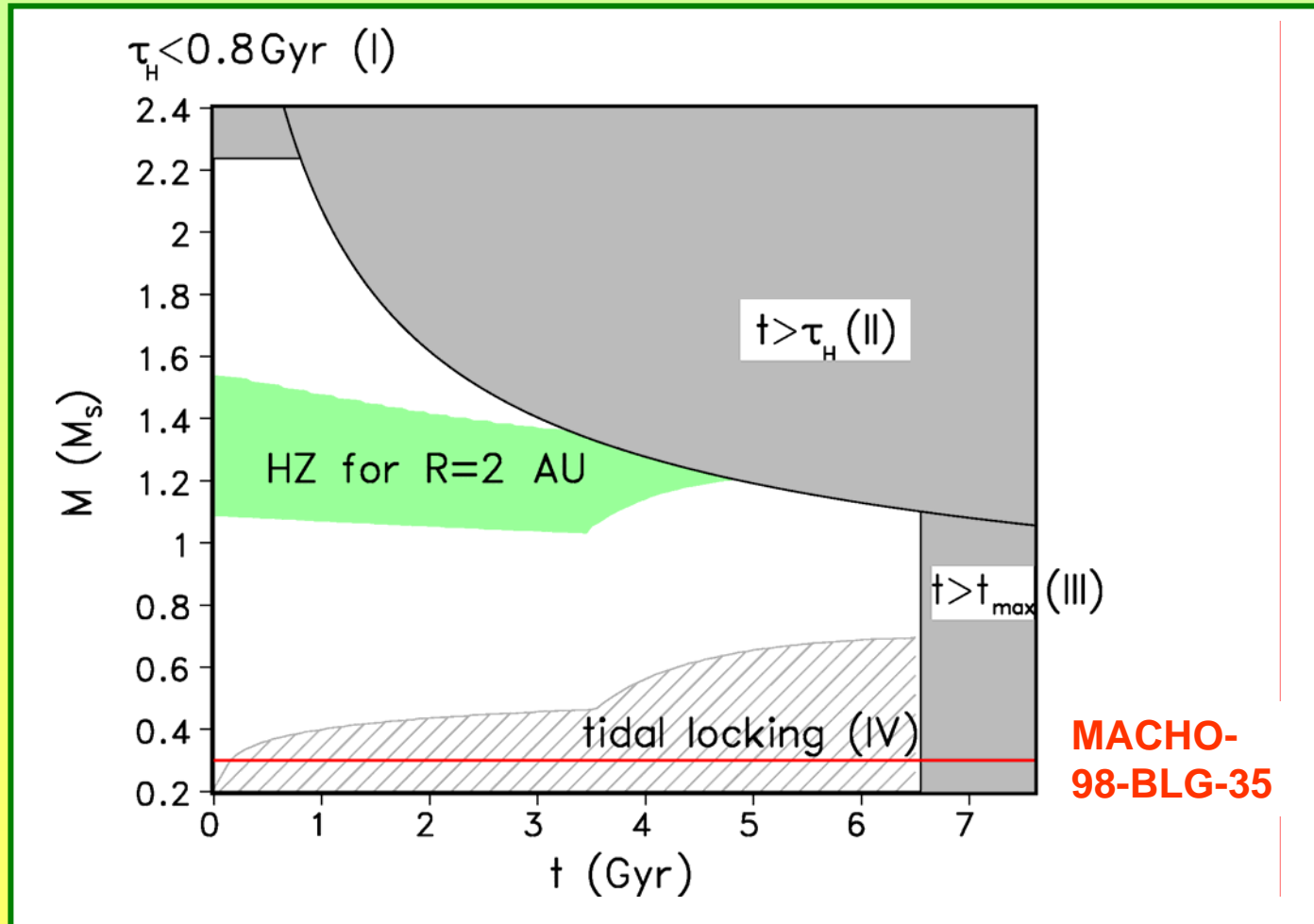
Whitmire and Reynolds (1996)

$$p_{hab}(\Delta t) = \frac{1}{C_2} N_P \int_{0.8M_s}^{1.2M_s} M^{-2.5} \left( 1 - (1 - p_{HZ}(M, \Delta t))^{N_P} \right) dM$$

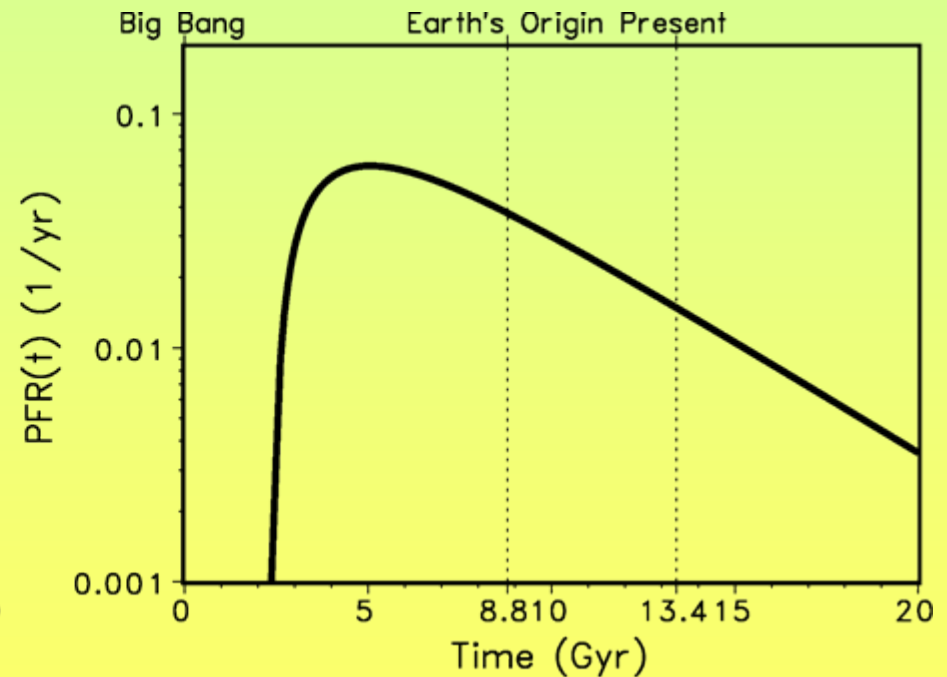
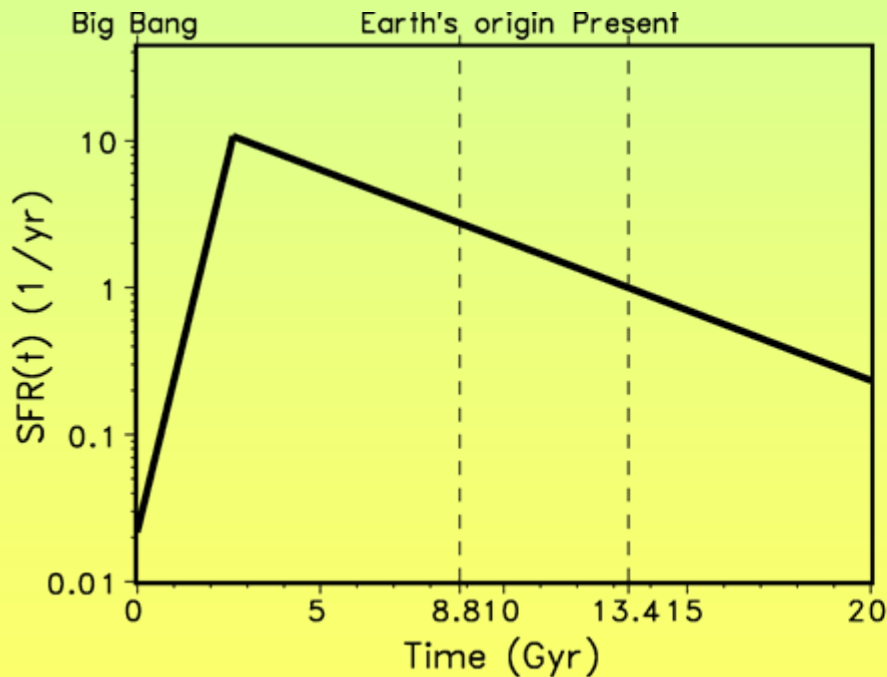
$$p_{hab}(\Delta t) = \frac{1}{C_2} N_P \int_{0.8M_s}^{1.2M_s} M^{-2.5} p_{HZ}(M, \Delta t) dM$$



# POTENTIAL OVERALL DOMAIN FOR HZ



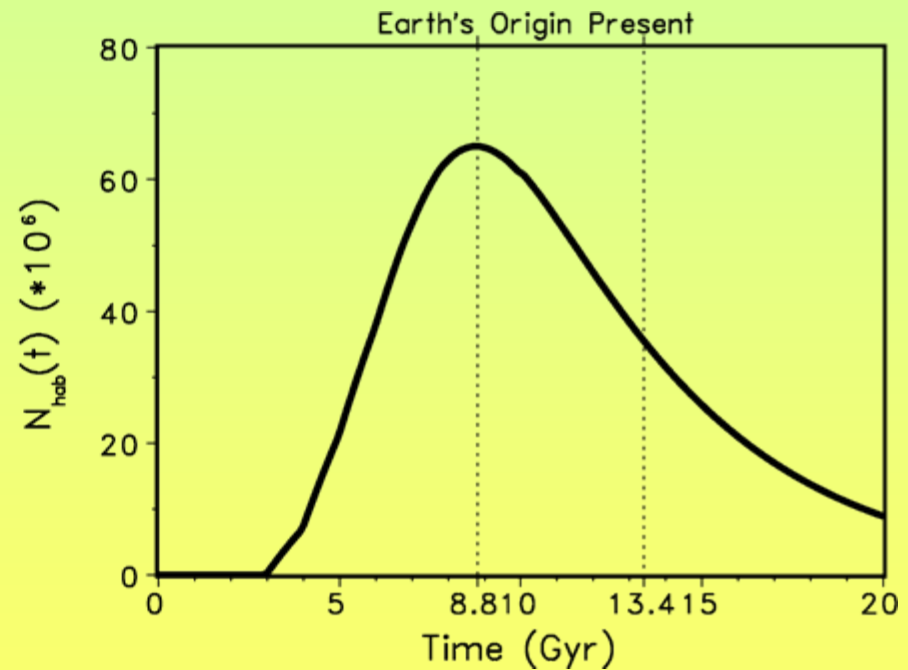
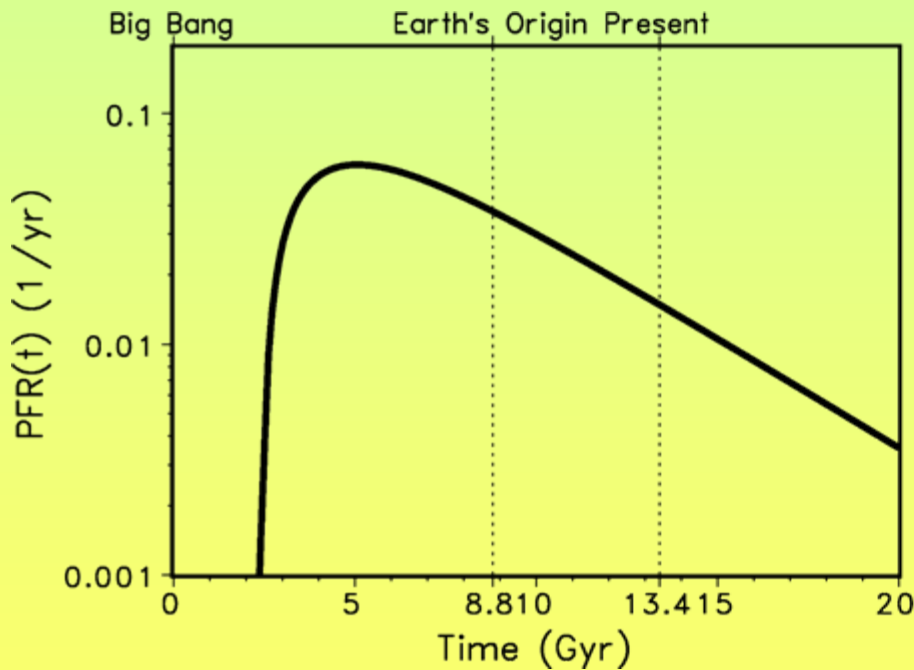
$$N_{\text{hab}}(t) = \int_0^t PFR(t') \times p_{\text{hab}}(t - t') dt'$$



Lineweaver (2001): *Icarus* 151, 367-313



$$N_{\text{hab}}(t) = \int_0^t PFR(t') \times p_{\text{hab}}(t - t') dt'$$

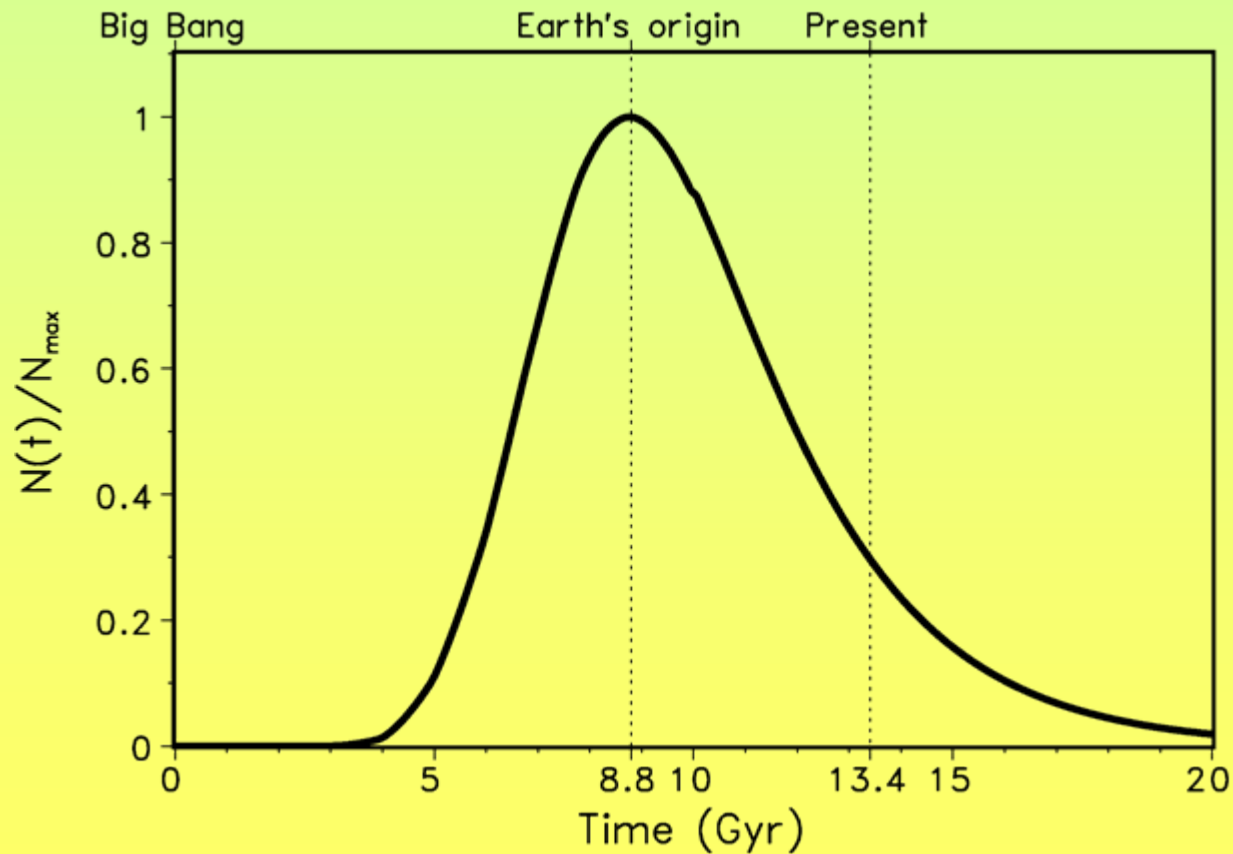


Von Bloh et al. (2003): *Origins Life Evol. Biosph.* 33, 219-231

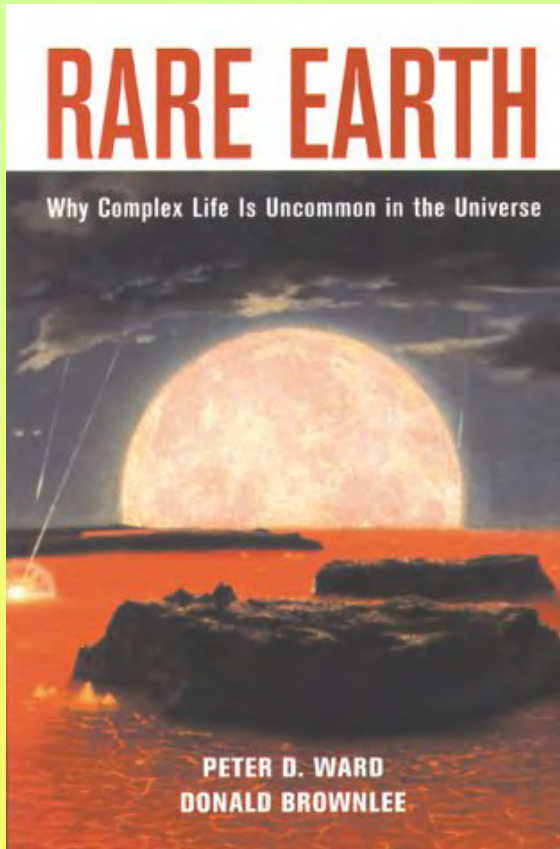


# NUMBER OF PANSPERMIA EVENTS

$$N(t) \propto N_{\text{hab}}(t') \cdot N_{\text{hab}}(t'')$$



# PROSPECTS



$$P(t) = \int_0^t PFR(t') \times p_{\text{hab}}(t - t') dt'$$

Von Bloh et al. (2003)

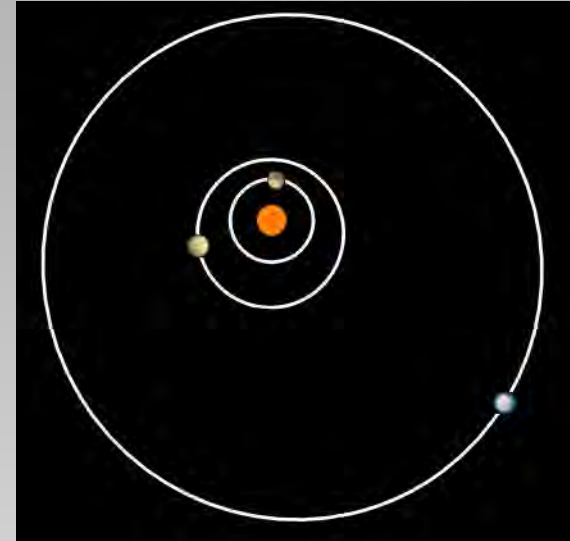
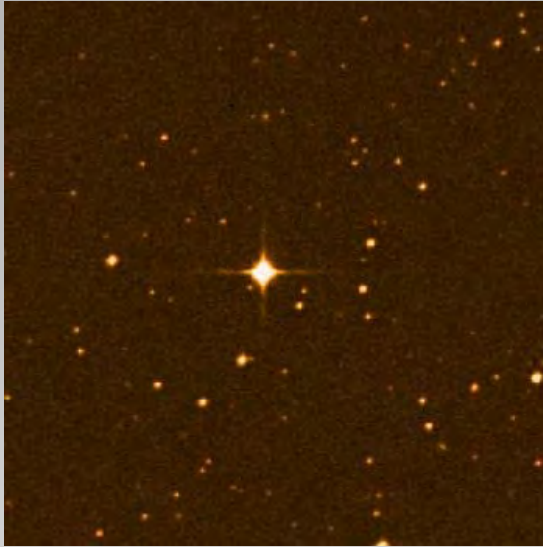


# First detection of a „super-Earth“

- 24. April 2007: Udry et al. announced detection of an Earth-like planet around Gliese 581
- Planetary mass of 5 Earth masses: „Super-Earth“
- Surface temperature between 0°C und 40°C
- But: Calculation of surface temperature without considering greenhouse effect of an atmosphere!
- A second planet with 8 Earth mass was additionally detected



# Planetary system around Gliese 581



## The star Gliese 581

**Spectral class:** M3V

**Type:** Red dwarf

**Distance:** 20.5 ly

**Luminosity:**  $0.013 L_{\text{solar}} (\pm 0.002)$

**Mass:** 0.31 Solar masses

**Age:** >2 Gyr

## Detected planets

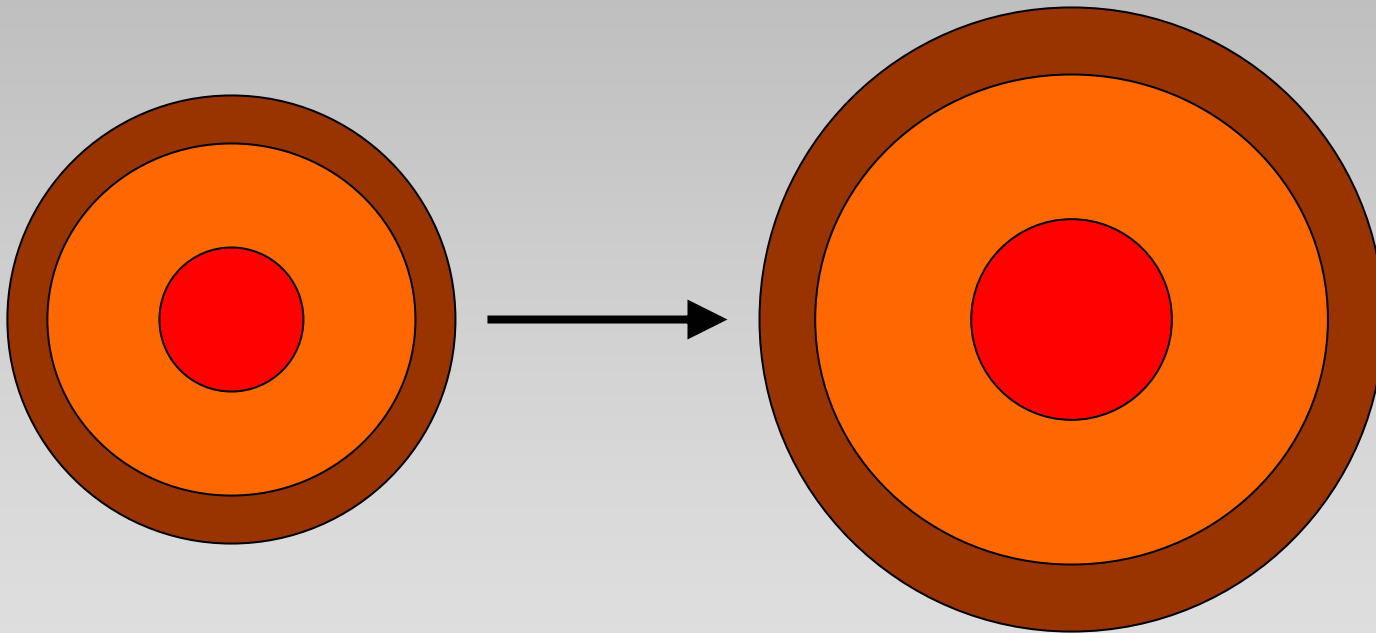
**GI 581 b:** 17.8 Earth masses  
0.041 AU

**GI 581 c:** 5.06 Earth masses  
0.073 AU

**GI 581 d:** 8.3 Earth masses  
0.25 AU

# Thermal evolution of super-Earths

$$\frac{4}{3}\pi\rho c(R_m^3 - R_c^3)\frac{dT_m}{dt} = -4\pi R_m^2 q_m + \frac{4}{3}\pi Q(R_m^3 - R_c^3)$$

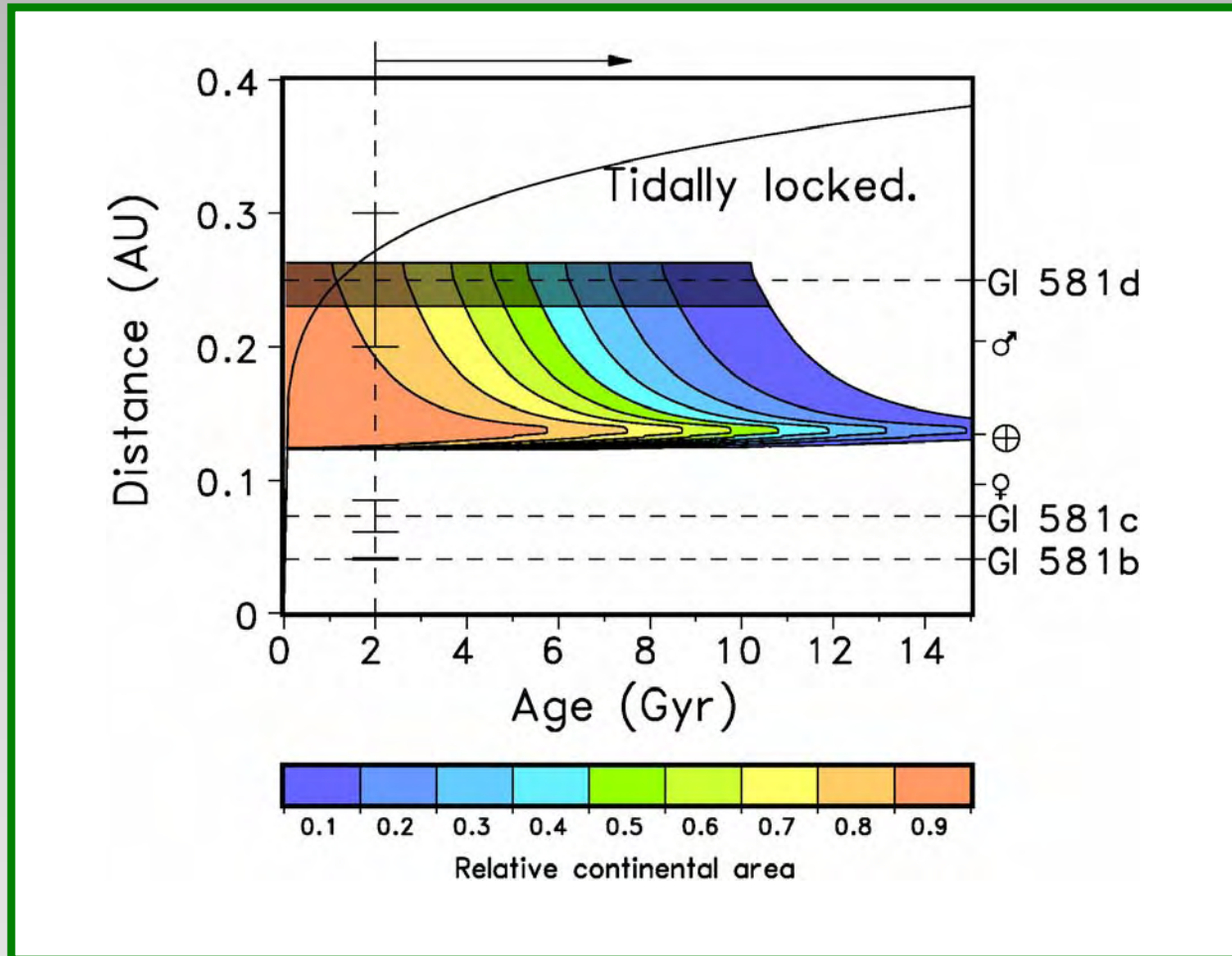


Scaling of planetary radius according to Valencia et al. 2006:

$$R \propto M^{0.27}$$

# Habitable Zone for Super-Earths in Gl 581

Relative continental area= 0.1...0.9 kept constant:



# Results for Gliese 581

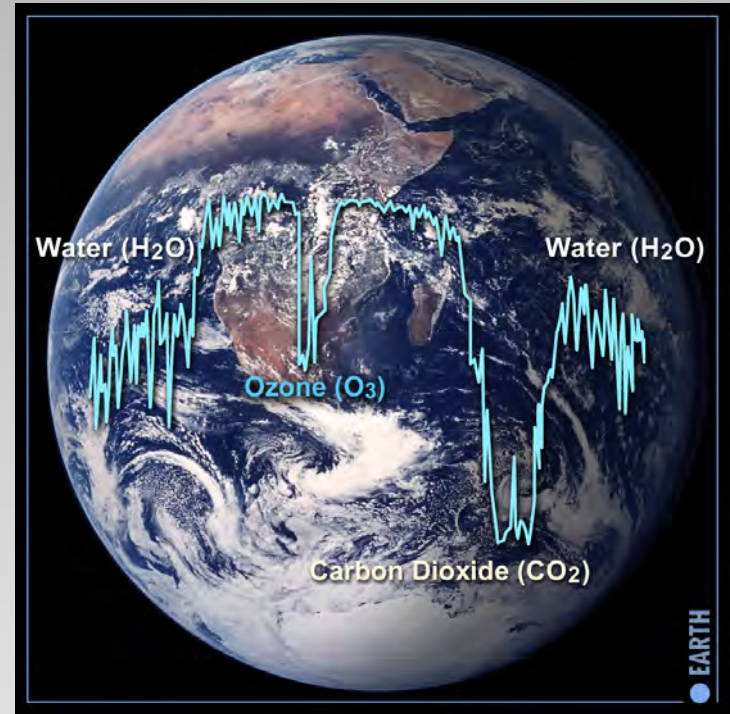
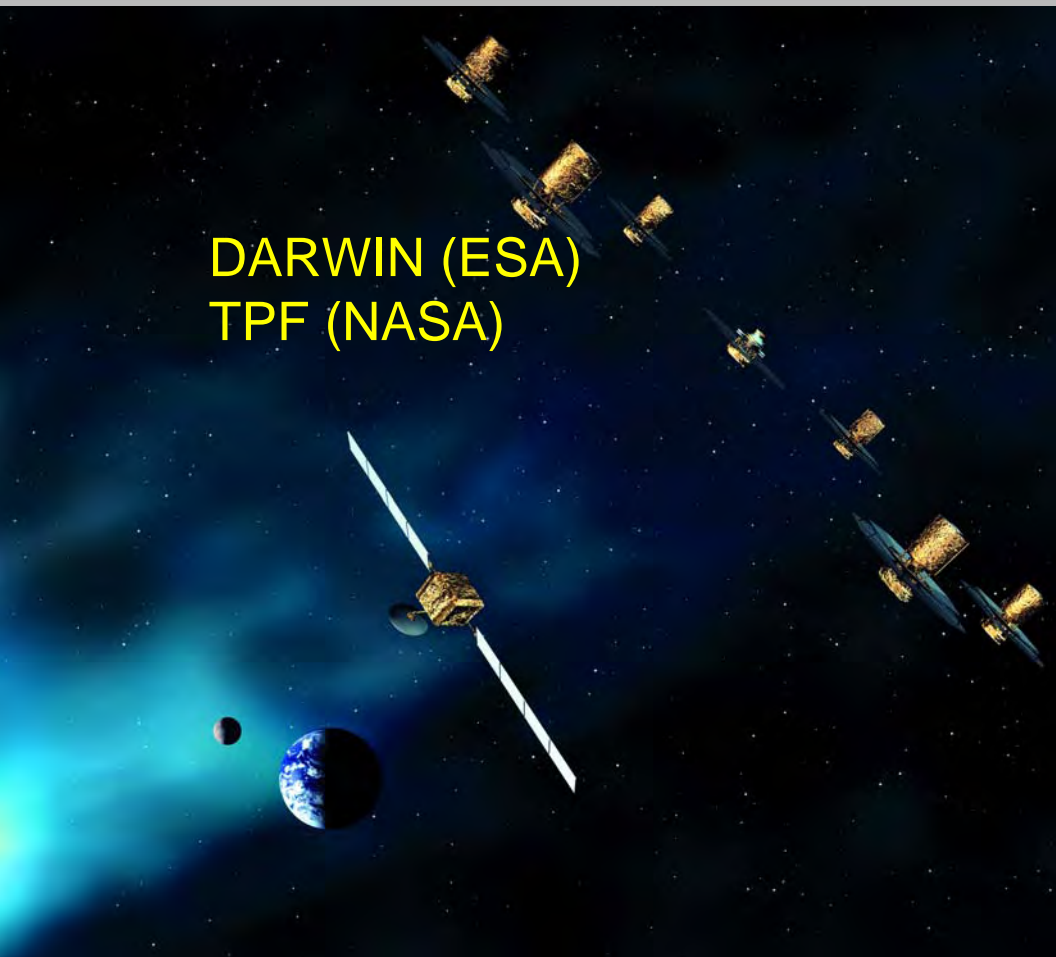
- Gliese 581c is not habitable. Planet receives more insolation than planet Venus in the solar system
- Outer planet Gliese 581d might be within the habitable zone, primitive life is possible
- Higher life forms are unlikely due to the rather harsh environmental conditions

⇒ The search for a second Earth is still ongoing...



# The future: Space missions

Direct detection of Earth-like planets within the habitable zone



Life detection via  
biomarker

## Water worlds make a splash as the best hope for alien life

QUIRIN SCHIERMEIER

[MUNICH] Kevin Costner's 1995 film *Waterworld* might have flopped at the box office, but researchers think that real water worlds — Earth-sized planets predominantly covered by oceans — are more likely than land-covered planets to host life.

Simple assumptions about the likely distribution of planets in the Milky Way suggest that many water worlds exist in our Galaxy, but elude existing methods of detection. "There could be as many as one billion stellar systems with potentially habitable zones," says Siegfried Franck, a geophysicist at the Potsdam Institute for Climate Impact Research in Germany.

To try to pin down the locations of planets that might host life, Franck and Manfred Cuntz, an astrophysicist at the University of Texas in Arlington, used a mathematical model to locate the 'habitable zone' of 47 UMa, a Sun-like star some 45 light years away. The pair devised equations coupling stellar age and luminosity, distance from the star, and planetary climate, to determine the chance of habitable planets existing near 47 UMa. They also calculated geodynamic constraints on the biospheres of planets that could have formed there. (S. Franck *et al. Int. J. Astrobiol.* 2, 35–39; 2003).

Earth-like planets in stable orbits in habitable zones are the most likely places to harbour life. "Earth would have a slight chance of being habitable in the 47 UMa system," says Franck, "but a water world almost entirely covered by oceans would have a better chance." The 47 UMa system intrigues experts because the star has roughly the same mass, age and spectrum as the Sun. Moreover, it hosts two giant gas planets, analogous to Jupiter and Saturn. It is thought that such large planets help to shelter Earth from bombardment by comets and asteroids.

"Studies like this help to publicize the notion of habitable zones," says Jim Kasting, an atmospheric scientist at Pennsylvania State University. But he warns that 'models of early planetary evolution are not particularly well constrained' and may not provide a reliable pointer to where inhabitable planets can be found.

NASA plans to launch two space-based telescopes, perhaps by 2013, dedicated to the pursuit of Earth-like planets, and to the analysis of their atmospheric composition. "Then the whole thing will get really exciting," says Kasting.



Mittwoch, 19.34  
20. August 2003, 0,45 €

